

Integrating the Healthcare Enterprise



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**IHE IT Infrastructure
Technical Framework Supplement**

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**Mobile Alert Communication Management
(mACM)**

HL7® FHIR® Release 4

Using Resources at FMM Level 2

15

Rev. 3.1 – Trial Implementation

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Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.

Foreword

30 This is a supplement to the IHE IT Infrastructure Technical Framework V16.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

35 This supplement is published on December 5, 2019 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the IT Infrastructure Technical Framework. Comments are invited and may be submitted at http://www.ihe.net/ITI_Public_Comments.

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

40 **Amend Section X.X by the following:**

Where the amendment adds text, make the added text **bold underline**. Where the amendment removes text, make the removed text **bold strikethrough**. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

45

General information about IHE can be found at <http://ihe.net>.

Information about the IHE IT Infrastructure domain can be found at http://ihe.net/IHE_Domains.

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at http://ihe.net/IHE_Process and <http://ihe.net/Profiles>.

50 The current version of the IHE IT Infrastructure Technical Framework can be found at http://ihe.net/Technical_Frameworks.

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Introduction to this Supplement

Whenever possible, IHE profiles are based on established and stable underlying standards. However, if an IHE domain determines that an emerging standard has high likelihood of industry adoption, and the standard offers significant benefits for the use cases it is attempting to address, the domain may develop IHE profiles based on such a standard. During Trial Implementation, the IHE domain will update and republish the IHE profile as the underlying standard evolves.

Product implementations and site deployments may need to be updated in order for them to remain interoperable and conformant with an updated IHE profile.

This mACM Profile is based on Release 4 of the emerging HL7®¹ FHIR®² standard. HL7 describes FHIR Change Management and Versioning at <https://www.hl7.org/fhir/versions.html>.

HL7 provides a rating of the maturity of FHIR content based on the FHIR Maturity Model (FMM): level 0 (draft) through N (Normative). See <http://hl7.org/fhir/versions.html#maturity>.

The FMM levels for FHIR content used in this profile are:

| FHIR Resource Name | FMM Level |
|----------------------|-----------|
| Communication | 2 |
| CommunicationRequest | 2 |

-
- 135 The mACM Profile provides the infrastructural components needed to send short, unstructured text alerts to human recipients and can record the outcomes of any human interactions upon receipt of the alert. The mACM Profile additionally allows for a feedback mechanism to determine the status of an alert through the use of alert statuses.

¹ HL7 is the registered trademark of Health Level Seven International.

² FHIR is the registered trademark of Health Level Seven International.

Open Issues and Questions

- 140 #6) *MEMLS has location notion of physical offset (e.g., within building). How should this be represented for the dissemination event location field? See Appendix A of PCD MEM-LS Supplement.*
- #11) *Open Issue: mACM definition of “alert” is not same as general definition:
http://ihe.net/uploadedFiles/Documents/Templates/IHE_TF_GenIntro_AppD_Glossary_Rev1.0_2014-07-01.pdf*
- 145 *It is not clear how to resolve: For example, PCD’s term could be broadened or we could rewrite this profile to not use the term alert.*
- #19) *Opened CPs with FHIR (10390 and 10391) to enable searching on CommunicationRequest.reason and Communication.reason. These have been closed with no action. Should we include a SearchParameter for these?*
- 150 #21) *In Table 3.84.5.2-3: Alert Status Value Set Mapping there are many values from PCD that are combined into one value from FHIR. We will open a CP to add failed, but are there others that should be requested and is this a problem? The CommunicationRequest and Communication statuses are more directly related to that particular communication and request and not really of the alert itself. Responses would be handled as a second Communication resource. notDone and notDoneReason can also be used to track the reason one Communication failed or wasn’t sent. Does there need to be a field in CommunicationRequest to track the current alert status? Is there a better mapping of these values in the table?*

Closed Issues

- 160 #0) *Should a codeset be defined to capture the priority of an alert in the flag.priority resource. .*
- #1) *Would we be prescriptive about the way to set PCD abnormality flags in the flag.characteristics data field? Table 8.3 is referenced, but no uri or oid is specified.*
- #2) *mACM defines FHIR extensions which require profiles in 3.84.41.2.1and 3.85.41.2.1. FHIR requires that these profiles are published. Currently the text states that the profiles are available at, for example:*

*http://www.ihe.net/fake_url_for_trial_implementation/mACM/Profile/flag.recipient
these URLs are examples only. Upon publication, a permanent home for any needed extension points should be defined as an IHE resource.*

We have removed all extensions and just have constraints.

- 170 #3) *Do not have a way to identity a device which is a non-medical device (e.g., not subject to FDA regulation) A clarification issue on FHIR was raised:*

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6209&start=0

175 #4) Should we have Device as a recipient in transactions 84 and 85. This is not specifically required for the uses cases described in Vol 1, but may be useful for PCD.

#5) For the flag.author data field, it would be useful to have the author of an alert be an Organization resource (e.g., CDC). A FHIR issue was filed:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6208&start=0

180 If this Issue is not approved, an extension point should be added to the flag resource to allow an Organizational author of the alert. For example, the following could be added to Table 3.84.4.2.2.1-1:

| | | |
|---------------------|---|---------------------------|
| extension [0..1] | This data field identifies the originator of the alert. This data field is defined as an extension with URL flag.author and with value in valueReference and whose value is an organization represented by a reference to an Organization resource. This data field should only be populated if a subject of care was not identified. | Reference (Organization) |
|---------------------|---|---------------------------|

185 #7) The use of the flag.category is unclear – it could either be flag/alert content or could be used for alert filtering/routing. A FHIR issue was filed:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6170&start=0

190 to clarify its use. A FHIR Skype conversation indicated that the later sense of flag.category is what is intended, and this is the way that is used in this profile.

#8) Use Case #1 in Vol 1 requires that an alert be issued without an identified subject of care. The flag resource has a flag.patient field that is [1..1] which would preclude the use of the flag resource for this use case. A FHIR issue has been filed:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6171&start=0

195 to change to [0..1]. If this CP is approved, then Section 3.84.4.1.2.1 should be updated.

#9) A concern brought up by PCD is that the use of flag.patient is limiting scope of the alert. What about location or equipment source=medical device, a use case highlighted in Vol 1 of PCD? Example of a location would be a cord pull in bathroom in a hallway. A FHIR issue was raised:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6271&start=0

200 CP was rejected by FHIR and not relevant now because we're using the Communication resource.

- 205 #10) Multiple extension points have been define by this profile on the FHIR flag resource. Some of those may be useful to be part of the core resource. A FHIR issue to this effect was raised here:
http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6272&start=0
- 210 Extension points have been removed.
- #12) The PCD referenced WCTP standard is not a formally published standard and that maintenance of WCTP is within the PCD Technical Committee.
- #13) Would be good to have Group as an allowed recipient for an alert. FHIR issue filed:
http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=8466
- 215 This was accepted, but it looks like it should also be added to CommunicationRequest resources:
http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=9773
- These have both been approved.
- #14) Would be useful to have Period in the core Communication resource rather than as an extension
http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=8467
- This was rejected by FHIR: “Communication represents a piece of information that *was* conveyed to a recipient. Validity period isn't relevant. (Flag on the other hand represents a piece of data that should be continuously exposed to a category of recipients over a period of time.)”
- 225 This raises the issue of whether mACM should use CommunicationRequest resources as the trigger.
- We have decided to use CommunicationRequest as the primary FHIR Resource for sending alerts.
- #15) Figure 3.84.4.1.3.1-1 probably should live in Volume 1.
- We decided against this.
- 230 #16) Should there be a FHIR CP for other extensions? This will depend on open issue #14 resolution.
- There are currently no extensions, just constraints so this is no longer necessary.
- #17) Should the dissemination extension be replaced by multiple Communication resources sharing the same original CommunicationRequest resource?
- 235 We have made this change.
- #18) FHIR CP #10387 asks for a way to describe the location a CommunicationRequest refers to. The current Table 3.85.4.2-1 uses sender.location (when sender is a Device). Is sender.location suitable?

240 This CP wanted more reason which we didn't have. We have left it using the Device.location when the sender is a device.

#20) *Should the basedOn field be constrained to only allow a maximum of one entry that must be the CommunicationRequest that started the process. This should meet the needs of this profile since the Communication is only created by the server and isn't created from any other outside means.*

245 We decided to constrain this for this profile as that is what is required. Communications created by this profile shouldn't have other needs, but we can take another look if it is needed to include multiples.

#22) *Should Table 3.84.5.21-52: Mobile Report Alert Priority Code System have a different mapping, there aren't the same number as in FHIR: routine, urgent, asap, stat.*

250 We made a mapping of the 4 values even though they didn't seem to exactly match in context.

General Introduction

255

Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

Appendix A – Actor Summary Definitions

Add the following actors to the IHE Technical Frameworks General Introduction list of actors:

| Actor | Definition |
|------------------|---|
| Alert Reporter | This actor originates the alert (an alarm, either physiological or technical, or an advisory). May also query the Alert Aggregator for the status of the alert. |
| Alert Aggregator | This actor receives alerts from an Alert Reporter and collects status events related to the dissemination of the alert. |

Appendix B – Transaction Summary Definitions

260

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

| Transaction | Definition |
|---------------------------------|--|
| Mobile Report Alert [ITI-84] | This transaction is used by the Alert Reporter to report alerts to the Alert Aggregator. The Alert Reporter sends alerts to the Alert Aggregator in an unsolicited manner. |
| Query for Alert Status [ITI-85] | This transaction is used by the Alert Reporter to query an Alert Aggregator for alert status information as communicated to an Alert Aggregator for a particular alert. |

Glossary

265

Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:

No new glossary terms.

Volume 1 – Profiles

Copyright Licenses

Add the following to the IHE Technical Frameworks General Introduction Copyright section:

270 None

Domain-specific additions

None

42 Mobile Alert Communication Management (mACM) Profile

- 275 The mACM Profile provides the infrastructural components needed to send short, unstructured text alerts to human recipients and can record the outcomes of any human interactions upon receipt of the alert. The mACM Profile additionally allows for a feedback mechanism to determine the status of an alert through the use of alert statuses. Additional characteristics of alerts are discussed in Section 42.1.4.1.
- 280 Recognizing that there are many health care workflows that could leverage a notification mechanism, it is not the aim of this profile to describe all of these workflows. Instead, this profile will limit considerations to two use cases:
- *Crisis Response*, defined in Section 42.4.2.1, covers the distribution of notifications to health workers defined by the Common Alerting Protocol version 1.2.
 - *Care Reminders*, defined in Section 42.4.2.2, covers the distribution of notifications to care givers and subjects of care based on upcoming or missed appointments as defined, medication reminders and other similar patient care reminders.
- 285 The infrastructural components of the mACM Profile are reusable beyond the use cases described in Section 42.4.2 and will support extensions for domain specific workflows.
- 290 The mACM Profile:
- defines a transaction, Mobile Report Alert [ITI-84], which is suitable for mobile devices and non-clinical contexts and provides alternative message semantics for the Report Alert [PCD-04] transaction;
 - defines a transaction, Query for Alert Status [ITI-85], which allows an originator of an alert to receive all status updates on alert that it reported;
 - supports alerting in national deployment and cross-enterprise contexts in addition to a controlled health delivery network;
 - supports interaction with the public, such as appointment reminders, on a broad variety of devices, interaction timings and platforms.
- 295

42.1 Mobile Alert Communication Management (mACM) Actors, Transactions, and Content Modules

Figure 42.1-1 shows the actors directly involved in the mACM Profile and the relevant transactions between them.

No content modules are defined by the mACM Profile.

305

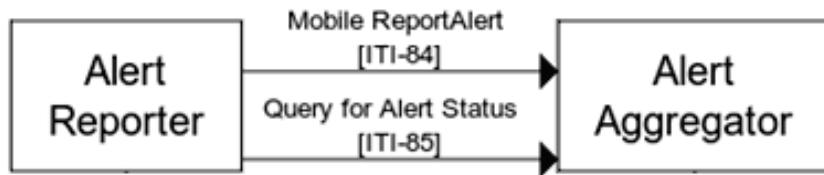


Figure 42.1-1: mACM Actor and Transaction Diagram

Table 42.1-1 lists the transactions for each actor directly involved in the mACM Profile. To claim compliance with this profile, an actor shall support all required transactions (labeled “R”) and may support the optional transactions (labeled “O”).

Table 42.1-1: mACM Profile - Actors and Transactions

| Actors | Transactions | Optionality | Reference |
|------------------|---------------------------------|-------------|-----------------|
| Alert Reporter | Mobile Report Alert [ITI-84] | R | ITI TF-2c: 3.84 |
| | Query for Alert Status [ITI-85] | O | ITI TF-2c: 3.85 |
| Alert Aggregator | Mobile Report Alert [ITI-84] | R | ITI TF-2c: 3.84 |
| | Query for Alert Status [ITI-85] | R | ITI TF-2c: 3.85 |

42.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in the Volume 2 Transactions and the Volume 3 Content Modules. This section documents any additional requirements on profile actors.

42.1.1.1 Alert Reporter

An Alert Reporter shall originate or relay alerts (an alarm, either physiological or technical, or an advisory) to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction.

Under the Query for Alert Status Option, this actor can query an Alert Aggregator for details related to the dissemination of this alert to the intended recipient(s).

The Alert Reporter may receive alerts from multiple sources and translate these alerts as needed to make them interoperable with the Alert Aggregator. It does not need to be the original source of the alert data. The means by which an Alert Reporter may receive alerts from other sources is out of scope of this profile.

The Response message of the Mobile Report Alert [ITI-84] and Query for Alert Status [ITI-85] transactions may additionally reference Fast Healthcare Interoperability Resources (FHIR®³). An Alert Aggregator’s response in these transactions may include URL references to FHIR

³ Fast Healthcare Interoperability Resources and FHIR are the registered trademarks of Health Level Seven.

330 Resources. Such referenced resources could include, but are not limited to Practitioner, Patient, Group, Organization, Device and Location. In such an instance, an Alert Reporter may need to resolve the URL reference to obtain any needed data. See ITI TF-2x: Appendix Z.5 for details.

42.1.1.2 Alert Aggregator

335 The Alert Aggregator receives alerts from the Alert Reporter via the Mobile Report Alert [ITI-84] transaction. The alert contains recipient information including contact details. The Alert Aggregator may then manage these alerts according to the required jurisdiction-defined business context, for example dispatching them onto a communications platform for delivery to an intended recipient.

340 The Alert Aggregator may optionally collect details related to the dissemination of the alert, for example under the Disseminate and Report Alert Status Option. The Alert Aggregator makes queries against these dissemination details available via the Query for Alert Status [ITI-85] transaction.

The Response message of the Mobile Report Alert [ITI-84] and Query for Alert Status [ITI-85] transactions may reference FHIR Resources.

345 When the Alert Aggregator includes a reference, the Alert Aggregator ensures that the reference resolves to the intended FHIR Resource. Such referenced resources could include, but are not limited to Practitioner, Patient, Group, Organization, Device and Location.

42.2 mACM Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table 42.2-1. Dependencies between options when applicable are specified in notes.

Table 42.2-1: mACM - Actors and Options

| Actor | Option Name | Reference |
|------------------|-------------------------------------|------------------|
| Alert Reporter | Query for Alert Status | Section 42.2.1 |
| Alert Aggregator | Disseminate and Report Alert Status | Section 42.2.2 |

350 **42.2.1 Query for Alert Status Option**

The Query for Alert Status Option enables an Alert Reporter to retrieve feedback on the current status of the alert. This option supports analytics on the delivery status and provides feedback capabilities for other business processes that an Alert Reporter implements.

355 An Alert Aggregator may collect and make available for querying the information related to the dissemination of an alert, either through the Disseminate and Report Alert Status Option, or through other means which are out of scope of this profile.

An Alert Reporter that supports the Query for Alert Status Option shall initiate the Query for Alert Status [ITI-85] transaction.

42.2.2 Disseminate and Report Alert Status Option

- 360 This option enables mACM actors to operate in an environment that is also using the IHE PCD ACM Profile.
- An Alert Aggregator that claims the Disseminate and Report Alert Status Option shall be grouped with an ACM Alert Manager. This grouping enables the mACM Alert Aggregator to collect feedback on the current status of an alert disseminated in an ACM environment.
- 365
 - When the mACM Alert Aggregator receives a valid Mobile Report Alert [ITI-84] transaction, the grouped ACM Alert Manager initiates the Disseminate Alert [PCD-06] transaction to an ACM Alert Communicator, using the translation tables in ITI TF-2c: 3.84.5.2
 - When the ACM Alert Manager receives a response to Report Dissemination Alert Status [PCD-07] about the corresponding alert, then the grouped mACM Alert Aggregator shall represent the dissemination data in a Query for Alert Status [ITI-85] response, using the translation tables in ITI TF-2c: 3.84.5.2.
- 370

See ITI TF-2c: Figure 3.84.4.1.3.3-1 and ITI TF-2c: 3.84.4.1.3.3 “Expected Actions - Disseminate and Report Alert Status Option”.

375 **42.3 mACM Required Actor Groupings**

An actor from this profile (Column 1) shall implement all of the required transactions and/or content modules in this profile **in addition to** all of the transactions required for the grouped actor (Column 2).

Table 42.3-1: mACM - Required Actor Groupings

| mACM Actor | Actor to be grouped with | Reference | Content Bindings Reference |
|--|--------------------------|---------------|----------------------------|
| Alert Aggregator with the Disseminate Status and Report Alert Option | PCD ACM / Alert Manager | PCD TF-1: 6.1 | -- |
| Alert Reporter | None | | |

380

42.4 mACM Overview

The mACM Profile supports the delivery of a variety of alerts to both Health Workers and Clients (Subjects of Care) with a feedback mechanism to record delivery status and human responses.

385 **42.4.1 Concepts**

Figure 42.4.1-1 illustrates the sequencing of the transactions in the mACM Profile.

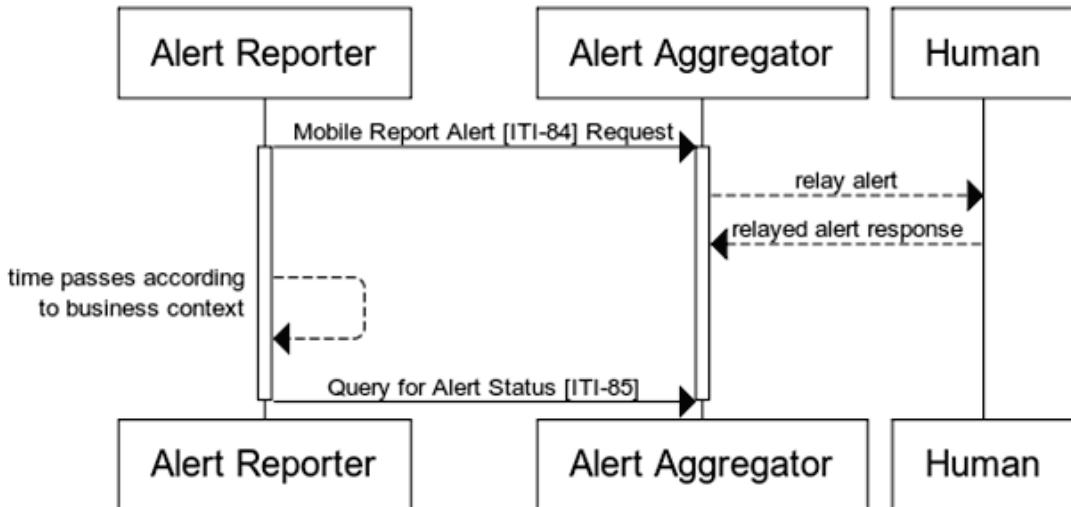


Figure 42.4-1: Process Flow Diagram

390 The text in Figure 42.4-2 was used to generate the diagram in Figure 42.4-1. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

```
395 title
participant Alert Reporter
participant Alert Aggregator

Alert Reporter->Alert Aggregator: Mobile Report Alert [ITI-84] Request
activate Alert Reporter
activate Alert Aggregator

400 Alert Aggregator-->Human: relay alert
Human-->Alert Aggregator: relayed alert response

405 Alert Reporter-->Alert Reporter: time passes according\n to business context
Alert Reporter->Alert Aggregator: Query for Alert Status [ITI-85]

410 deactivate Alert Aggregator
deactivate Alert Reporter
```

Figure 42.4-2: Pseudocode for Process Flow Diagram

42.4.2 Use Cases

The mACM Profile takes into consideration uses cases that span clinical, health systems management and public health domains.

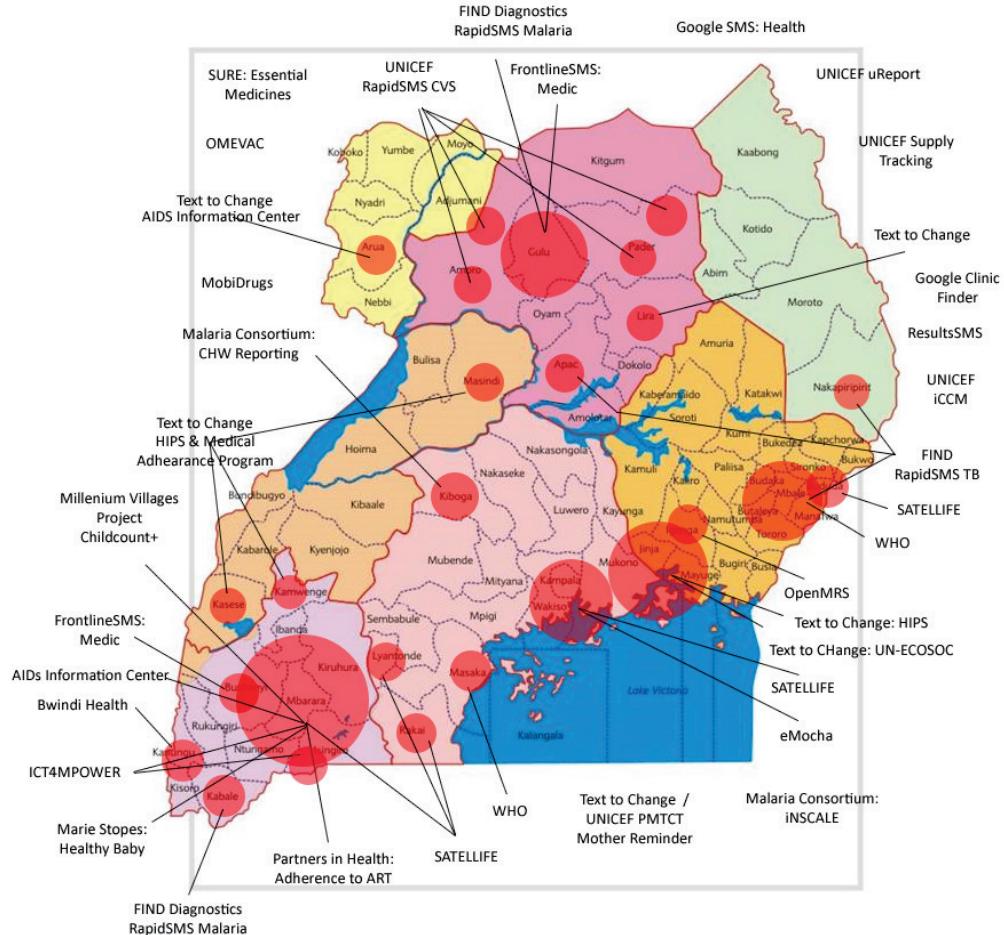
415 A critical goal of the mACM Profile is the ability to provide basic alerting services within resource-constrained environments with a low barrier to entry. Such communities may exist at

420 national context for Low and Middle Income Countries (LMICs⁴), as well as underserved communities in high-income countries (e.g., the population targeted by Detroit's Beacon Project⁵). A proliferation of alerting services exists in national health networks of resource-constrained countries (see Figure 42.4.2-1 for an illustrative example) and the mACM Profile fulfills an important need of the ministries of health to provide a central messaging infrastructure. Such a centralized infrastructure provides the ministry the ability to:

- 425
- Assert and enforce governance policies on the utilization of alerting services on mobile platforms
 - Define and enforce cost control measures across various mobile alerting platforms

⁴ <http://data.worldbank.org/about/country-and-lending-groups>

⁵ <http://www.healthit.gov/sites/default/files/beacon-factsheet-semi.pdf>



(Courtesy UNICEF/Blaschke/2011)

Figure 42.4.2-1: Extant mobile-based mHealth Services in Uganda

42.4.2.1 Use Case #1: Crisis Response

- 430 In response to a crisis or emergency situation, such as the 2014 and 2015 outbreaks of Ebola in western Africa, it is critical to communicate to health workers across organizational and national boundaries, and to verify receipt of such alerts. Such alerts are commonly issued in the OASIS Common Alerting Protocol (CAP) format:
- <http://docs.oasis-open.org/emergency/cap/v1.2/CAP-v1.2-os.html>
- 435 There is a desire to assure human acknowledgment of receipt of these CAP messages.

42.4.2.1.1 Crisis Response Use Case Description

The Crisis Response use case describes the mechanism for delivering alerts in the CAP format to health workers within a particular health care network. The nature of this network is not prescribed in this profile and may consist, for example, of a network of hospitals or a national health care network.

440

The manner of production and publication of the CAP message is not prescribed in this profile.

There are several specifications related to CAP messages that detail values of and requirements on particular data fields. Such specifications include:

445

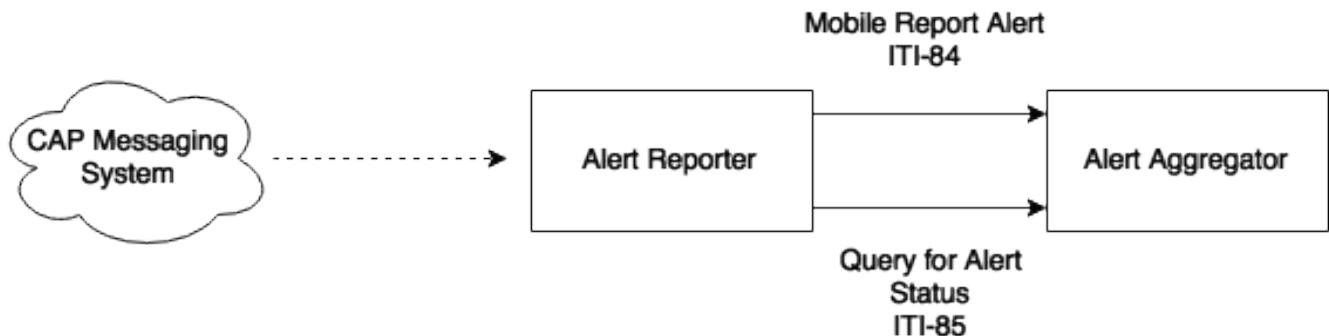
- OASIS Integrated Public Alert and Warning System (IPAWS)
- HITSP T 63 - Emergency Message Distribution Element Transaction
- NIEM Emergency Management

450

The mACM Profile can be used to relay CAP messages issued by an appropriate authority to an appropriate set of health workers on last-mile devices. In addition, this profile describes a mechanism for recording human acknowledgment of receipt of information contained in the CAP messages. These responses can in turn be used for analytical and monitoring purposes.⁶

42.4.2.1.2 Crisis Response Process Flow

The workflow for delivery and acknowledgment of a CAP message is illustrated in Figure 42.4.2.1.2-1.



455

Figure 42.4.2.1.2-1: CAP Delivery and Acknowledge

⁶ Waidyanatha, Nuwan and Gow, Gordon and Anderson, Peter, Common Alerting Protocol Message Broker for Last-Mile Hazard Warning System in Sri Lanka: An Essential Component (May 2007). Available at SSRN: <http://ssrn.com/abstract=1568001> or <http://dx.doi.org/10.2139/ssrn.1568001>

Figure 42.4.2.1.2-1 illustrates the distribution of a CAP message from an external system to an Alert Reporter. Though the method for receiving a CAP message is not specified by the profile, the Alert Reporter should:

- 460
- Identify a cohort of health workers for receiving the text of the CAP message
 - Translate the CAP message into the message semantics defined in ITI TF-2c: 3.84 and transmit to the Alert Aggregator

The Alert Aggregator distributes the alert, collects alert dissemination statuses, and makes status information available to the Alert Reporter via the Query for Alert Status transaction.

42.4.2.2 Use Case #2: Care Reminders

465 A subject of care may receive care from multiple providers across multiple health care networks, and coordination of care across providers and networks is difficult. If an Electronic Medical Record or Longitudinal/Shared Health Record is present, Care Reminder alerts can be triggered through the examination of clinical records about the subject of care. Care Reminder alerts are sent either to the subject of care or a designated health worker.

42.4.2.2.1 Care Reminder Use Case Description

The following are illustrative examples of Care Reminder alerts:

- 475
- (Rwanda) When patients are referred to the district hospital by a Community Health Worker (CHW), the CHW can choose an immediate, urgent or routine referral. In urgent cases, they must visit the hospital within three days and for routine referrals, they must visit the hospital within seven days. The Health Information Exchange (HIE) is able to detect if the patient has missed her referral by checking if an encounter has been received at the Longitudinal Health Record within the time frame. If an encounter has not been received the HIE sends out an out an alert of the missed appointment to inform the CHW that originally interfaced with that patient.
 - (Tanzania) An examination of an Electronic Medical or Health Record indicates that a child has missed a vaccination according to an established protocol of care. An SMS reminder is generated and sent to the mother or other designated guardian. In the case when a mother does not have access to a cell phone or other electronic device, an alert should be generated and sent to the child's caregiver. This caregiver could be a Community Health Worker, a village elder, or a sub-village chairman.
- 480

42.4.2.2.2 Care Reminder Process Flow

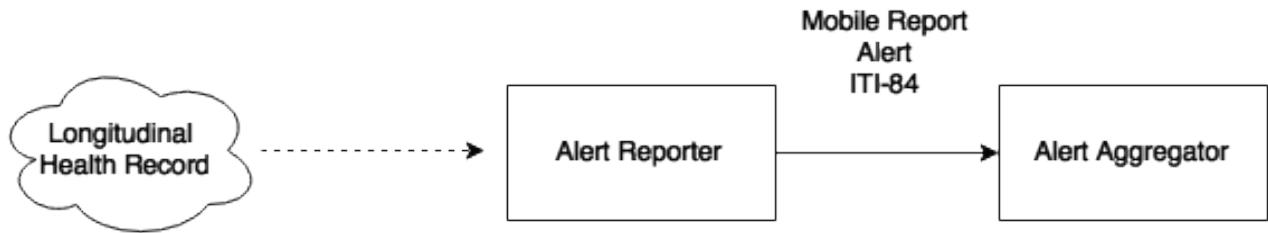


Figure 42.4.2.2.2-1: Care Reminders

42.5 mACM Security Considerations

490 The implementer of this profile is advised that many risks cannot be mitigated by the IHE profile and instead the responsibility for mitigation is transferred to the vendor, and occasionally to the operational environment.

To address identified security risks for the transactions defined in this profile, implementers should ensure that:

- 495
- All actors in mACM are grouped with a Consistent Time (CT) Profile - Time Client. This grouping will assure that all systems have a consistent time clock to assure a consistent timestamp for audit logging and alert dissemination.
 - All actors in mACM are grouped with an Audit Trail and Node Authentication (ATNA) Profile - Secure Node or Secure Application Actor. This grouping will assure that only highly trusted systems can communicate and that all changes are recorded in the audit log.
 - The Alert Reporter is grouped with an Authorization Client in the Internet User Authorization (IUA) Profile. The Alert Aggregator should be grouped with an IUA Resource Server. This grouping will enable service side access control and more detailed audit logging if ATNA is also used.
 - All actors in mACM are grouped with the appropriate actor from the Enterprise User Authentication (EUA) Profile to enable single sign-on inside an enterprise by facilitating one name per user for participating devices and software.
- 500
- 505

510 In particular, appropriate care should be taken when a subject of care is identified in the alert as the content may contain PHI. There are many security and privacy concerns with mobile devices, including lack of physical control. Many common information technology uses of HTTP, including REST, are accessing far less sensitive information than health documents. These factors present an especially difficult challenge for the security model. It is recommended that application developers perform a Risk Assessment in the design of the applications, and that operational environment using mACM perform Risk Assessments in the design and deployment of the operational environment.

An Alert Aggregator should not return any patient information in transaction Mobile Report Alert [ITI-84] or Query for Alert Status [ITI-85] without proper authentication and communications security.

- 520 There are many reasonable methods of securing transactions. These security models can be layered in at the HTTP transport layer and do not modify the interoperability characteristics defined in the mACM Profile.

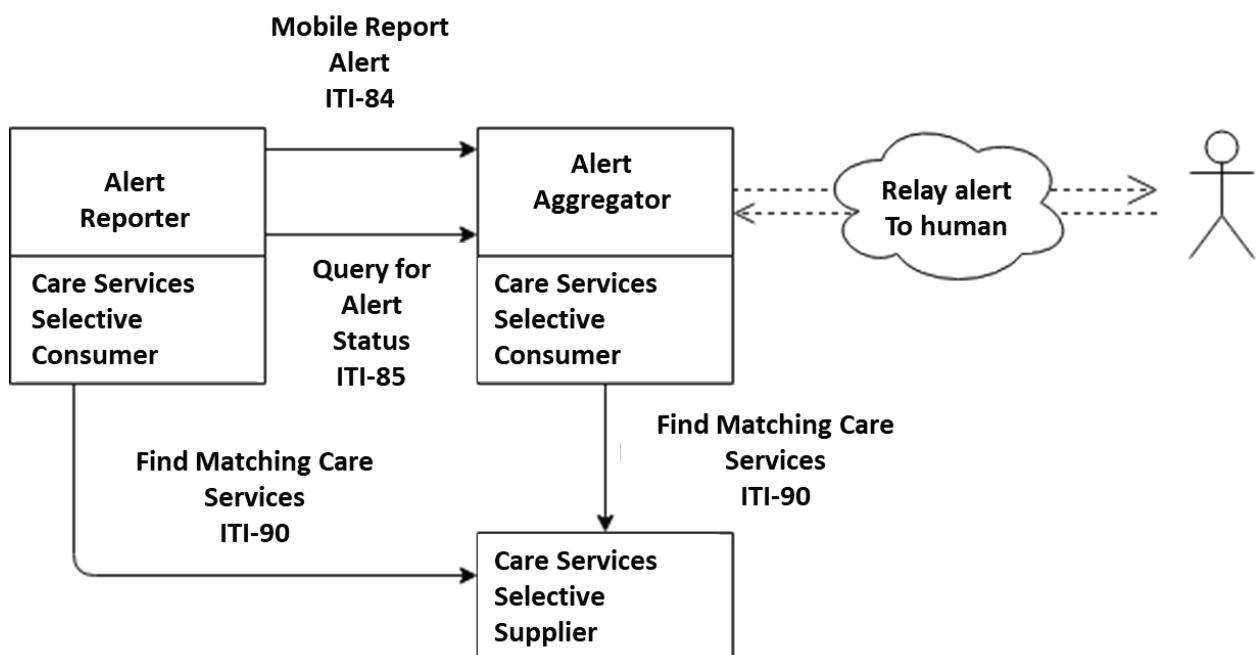
42.6 mACM Cross Profile Considerations

42.6.1 Health Worker Registry Services

- 525 The Alert Reporter would receive great benefit from operating in a health care network that has a registry of health workers. These registries can be used to create a list of enterprise IDs for health workers. Such a service for health workers could be provided, for example, by the:

- Care Services InfoManager in the Care Services Discovery (CSD) Profile
- Provider Information Directory in the Healthcare Provider Directory (HPD) Profile
- Personnel White Pages Directory in the Personnel White Pages (PWP) Profile

- 530 Figure 42.6.1-1 illustrates the grouped actors and the transactions between them and Figure 42.6.1-2 shows a sequencing of the interactions between actors.



535 **Figure 42.6.1-1: mACM Actor Interactions with a Health Worker Registry**

In Figure 42.6.1-2, the mCSD Care Services Selective Supplier acts as a registry of health workers in the health system. The Alert Reporter, grouped with a Care Services Selective Consumer, executes an appropriate Find Matching Care Services [ITI-90] transaction to determine the enterprise IDs for targeted health workers. The Alert Reporter then sends the alert on to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction. The Alert Aggregator, grouped with a Care Services Selective Consumer, may also execute an appropriate Find Matching Care Services [ITI-90] transaction in order to determine the contact points (e.g., cell phone number) of the referenced health worker.

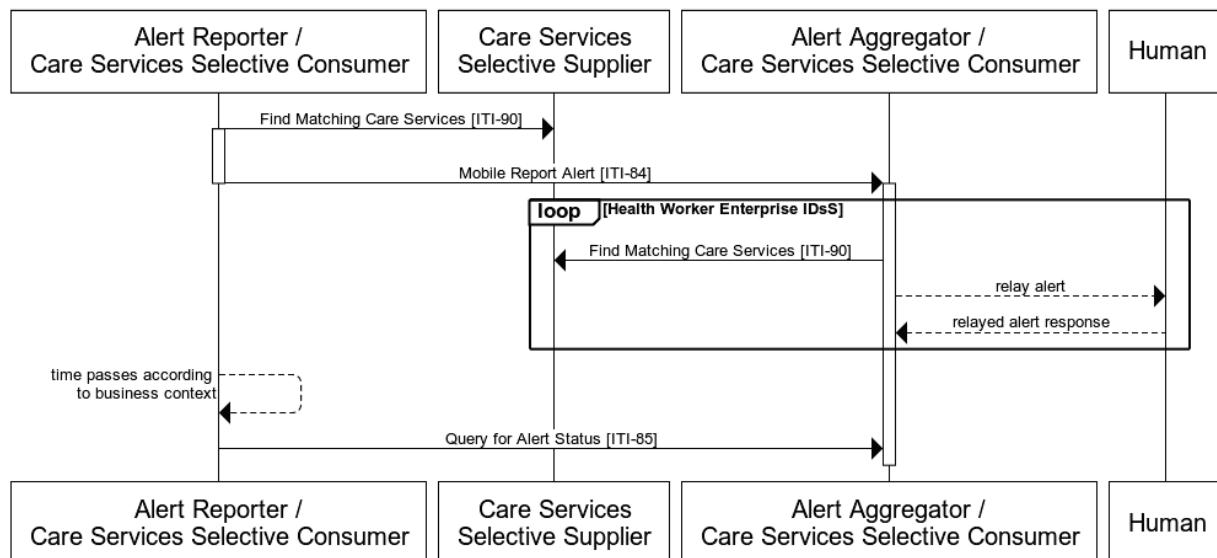


Figure 42.6.1-2: Sequencing of mACM Actor Interactions with a Health Worker Registry

The text in Figure 42.6.2.1-3 was used to generate the diagram in Figure 42.6.2.1-2. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

```
550    title
      Alert Reporter /\nCare Services Selective Consumer->Care Services\nSelective Supplier:Find
      Matching Care Services [ITI-90]
      activate Alert Reporter /\nCare Services Selective Consumer

555    Alert Reporter /\nCare Services Selective Consumer->Alert Aggregator /\nCare Services Selective
      Consumer: \nMobile Report Alert [ITI-84]
      deactivate Alert Reporter /\nCare Services Selective Consumer
      activate Alert Aggregator /\nCare Services Selective Consumer

560    loop Health Worker Enterprise IDs
      Alert Aggregator /\nCare Services Selective Consumer->Care Services\nSelective Supplier: Find
      Matching Care Services [ITI-90]

565    Alert Aggregator /\nCare Services Selective Consumer-->Human: relay alert
      Human-->Alert Aggregator /\nCare Services Selective Consumer: relayed alert response
      end

570    Alert Reporter /\nCare Services Selective Consumer-->Alert Reporter /\nCare Services Selective
      Consumer: time passes according\n to business context
      Alert Reporter /\nCare Services Selective Consumer->Alert Aggregator /\nCare Services Selective
      Consumer: Query for Alert Status [ITI-85]
```

Figure 42.6.1-3: Pseudocode for Sequencing of mACM Actor Interactions with a Health Worker Registry

In Figure 42.6.1-2, a potential sequencing of the transactions in Figure 42.6.1-1 is illustrated. These steps may be described as follows:

1. The Alert Reporter, grouped with a Care Services Selective Consumer, executes the Find Matching Care Services [ITI-90] transaction against a Care Services Selective Supplier to determine the enterprise IDs for a list of Health Workers matching a set of criteria. The specific criteria used are dependent on the business context under which the alert is intended to be communicated.
2. Using the resultant list of Health Worker enterprise IDs, the Alert Report executes Mobile Report Alert [ITI-84] to report the given alert to an Alert Aggregator.
3. For each Health Worker identified in the alert, the Alert Aggregator, grouped with a Care Services Selective Consumer, determines available contact points (e.g., telephone number, email address) by executing Find Matching Care Services [ITI-90] against a Care Services Selective Supplier.

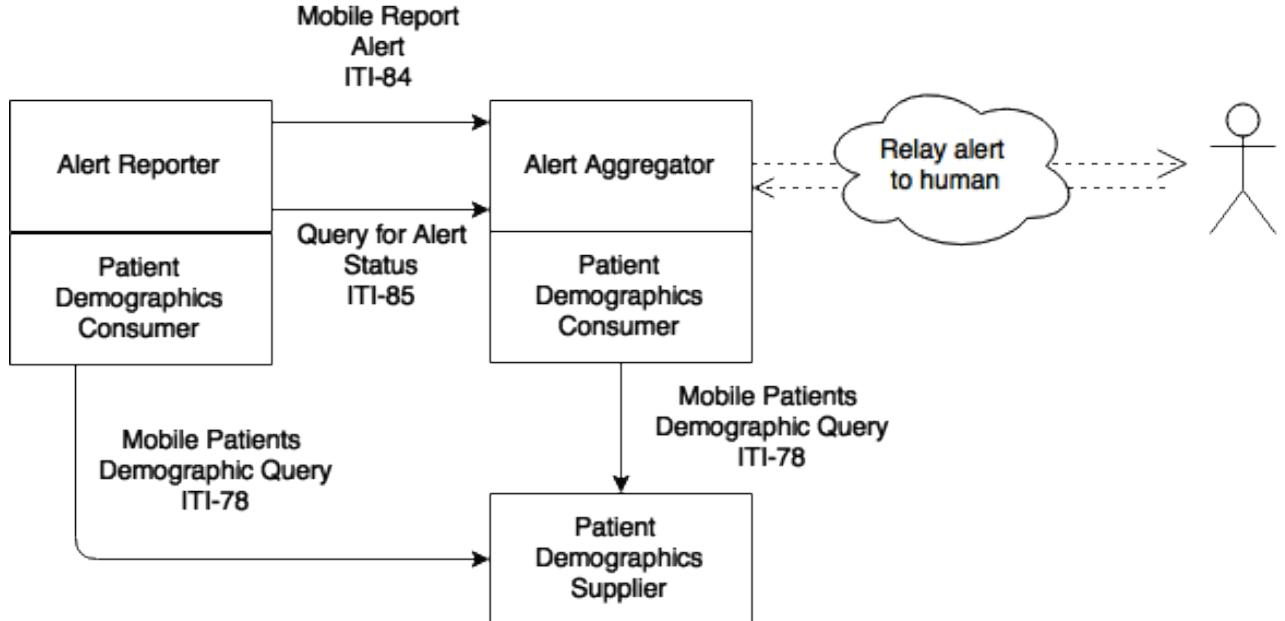
42.6.2 Client Registry Services

- 590 The Alert Reporter would receive great benefit from operating in a health care network that has a health client registry containing enterprise IDs for subjects of care. Such a service for a client registry could be provided, for example, by the:

- The Patient Demographics Supplier in the Patient Demographics Query (PDQ) Profile

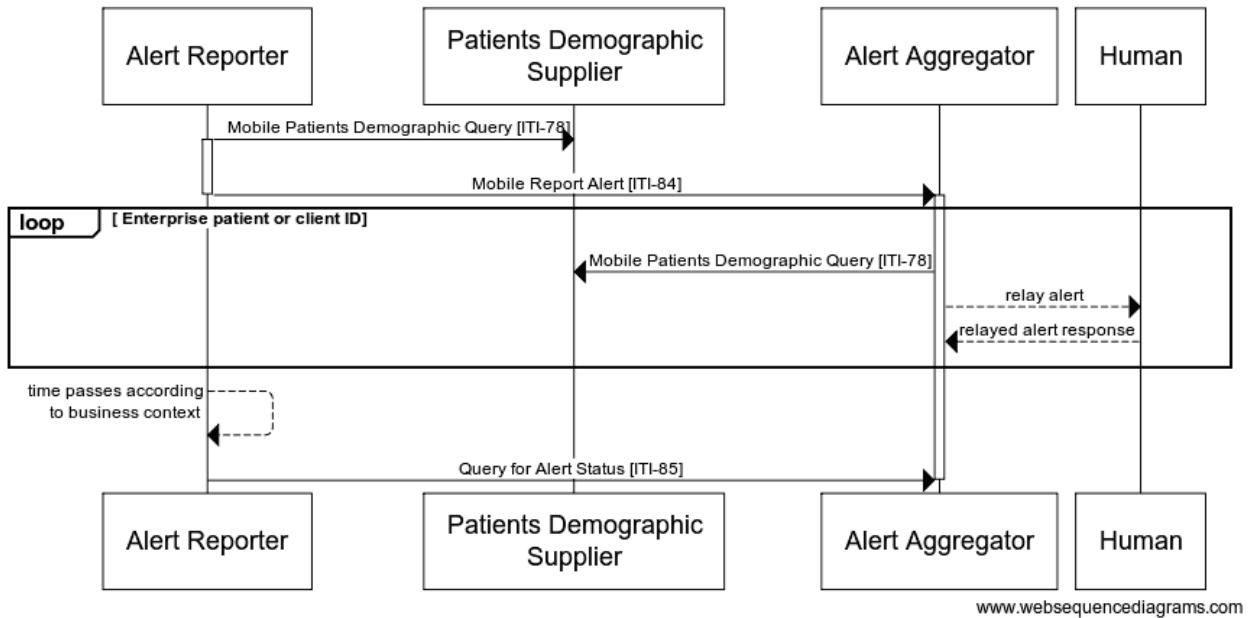
- 595
- The Patient Demographics Supplier in the Patient Demographics Query for Mobile (PDQm) Profile

Figure 42.6.2-1 illustrates the grouped actors and the transactions between them and Figure 42.6.2-2 which shows a sequencing of the interactions between actors.



600 **Figure 42.6.2-1: mACM Actor Interactions with a Client Registry using the PDQm Profile**

In Figure 42.6.2-2, the PDQm Patient Demographics Supplier acts as a registry of subjects of care in the health system. The Alert Reporter, grouped with a Patient Demographics Consumer, executes an appropriate Mobile Patients Demographic Query [ITI-78] transaction to determine patient IDs for targeted subjects of care. The Alert Reporter then sends the alert on to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction. The Alert Aggregator, grouped with a Patient Demographics Consumer, may also execute an appropriate Mobile Patients Demographic Query [ITI-78] transaction in order to determine the contact points (e.g., cell phone number) of the referenced subject of care.



610

Figure 42.6.2-2: Sequencing of mACM Actor Interactions with a Client Registry

The text in Figure 42.6.2.2-3 was used to generate the diagram in Figure 42.6.2.2-2. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

615

```
title
Alert Reporter->Patients Demographic\nSupplier: Mobile Patients Demographic Query [ITI-78]
activate Alert Reporter
```

620

```
Alert Reporter->Alert Aggregator: \nMobile Report Alert [ITI-84]
deactivate Alert Reporter
activate Alert Aggregator
```

625

```
loop Enterprise patient or client ID
Alert Aggregator->Patients Demographic\nSupplier: Mobile Patients Demographic Query [ITI-78]
```

630

```
Alert Aggregator-->Human: relay alert
Human-->Alert Aggregator: relayed alert response
end
```

```
Alert Reporter-->Alert Reporter: time passes according\n to business context
Alert Reporter->Alert Aggregator: Query for Alert Status [ITI-85]
```

635

Figure 42.6.2-3: Pseudocode for Sequencing of mACM Actor Interactions with a Client Registry

Volume 2c – Transactions (cont.)

3.84 Mobile Report Alert [ITI-84]

640 3.84.1 Scope

The Mobile Report Alert transaction is used to issue alerts to health workers and subjects of care. An Alert Reporter initiates a Mobile Report Alert transaction against an Alert Aggregator.

3.84.2 Actor Roles



645

Figure 3.84.2-1: Use Case Diagram

Table 3.84.2-1: Actor Roles

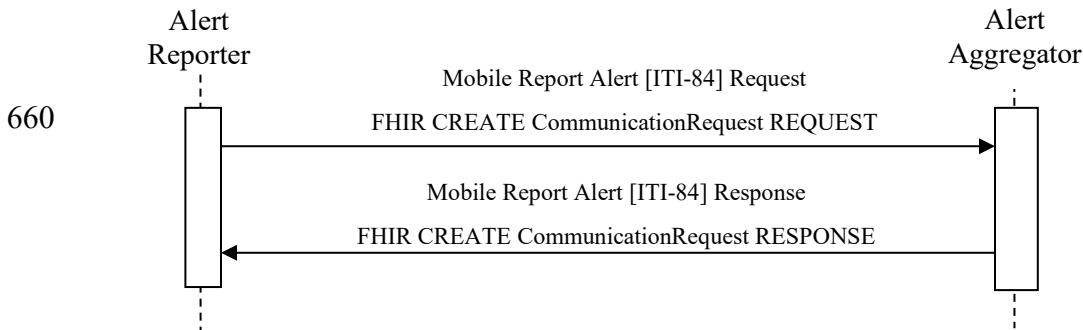
| | |
|---------------|--|
| Actor: | Alert Reporter |
| Role: | Sends an alert to an Alert Aggregator for dissemination to a health worker or subject of care. |
| Actor: | Alert Aggregator |
| Role: | Accepts an alert from an Alert Reporter for dissemination to subjects of care and health workers |

3.84.3 Referenced Standards

- 650
- HL7 FHIR standard R4 <http://hl7.org/fhir/R4/index.html>
 - HL7 - Health Level 7 Version 2.6 Ch7 Observation Reporting
 - ISO/IEEE 11073-10201 Domain Information Model
 - ISO/IEEE 11073-10101 Nomenclature
 - IETF RFC5646 - Tags for Identifying Languages

3.84.4 Messages

- 655 The following interaction diagram illustrates an Alert Reporter sending a Mobile Report Alert to an Alert Aggregator via the message semantics as defined for a CommunicationRequest Resource.



665 **Figure 3.84.4-1: Interaction Diagram**

3.84.4.1 Mobile Report Alert Request

The Alert Aggregator shall support the message semantics for create as defined at <http://hl7.org/fhir/R4/http.html#create> as applicable to a CommunicationRequest Resource defined at <http://hl7.org/fhir/R4/communicationrequest.html>.

- 670 The CommunicationRequest Resource is further constrained as defined in Section 3.84.4.1.2.1.

3.84.4.1.1 Trigger Events

An Alert Reporter triggers a Mobile Report Alert Request according to the business rules for the alert being issued. These business rules are out of scope of this transaction.

3.84.4.1.2 Message Semantics

- 675 An Alert Reporter initiates a create request as defined at <http://hl7.org/fhir/R4/http.html#create> on the CommunicationRequest Resource in order to report a new alert.

An Alert Reporter shall use either the XML or the JSON messaging formats as defined in FHIR. An Alert Aggregator shall support receiving a request in both the JSON and the XML messaging formats as defined in FHIR. See ITI TF-2x: Appendix Z.6 for more details.

680 **3.84.4.1.2.1 FHIR CommunicationRequest Resource Constraints**

An Alert Aggregator and an Alert Reporter shall use a FHIR CommunicationRequest Resource. The FHIR CommunicationRequest Resource shall be further constrained as described in Table 3.84.4.1.2.1-1. The Data Field column in Table 3.84.4.1.2.1-1 references the object model defined at <http://hl7.org/fhir/R4/communicationrequest.html>.

685

Table 3.84.4.1.2.1-1: CommunicationRequest Resource Constraints

| Data Field & Cardinality | Description & Constraints | FHIR Data Type |
|--------------------------|--|-----------------|
| category [1..*] | <p>This cardinality differs from the cardinality in the FHIR CommunicationRequest Resource.</p> <p>Signifies that this communication shall be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3.</p> <p>One of the entries of this element shall contain:</p> <ul style="list-style-type: none"> The <code>coding.code</code> attribute value is defined in the “Code” column of Table 3.84.5.1-1 The value <code>coding.system</code> attribute value shall be “1.3.6.1.4.1.19376.1.2.5.1” | CodeableConcept |
| payload [1..*] | <p>This cardinality differs from the cardinality in the FHIR CommunicationRequest Resource. This element contains the content of the alert.</p> <p>The Alert Aggregator shall include at least one payload element with the unstructured text content of the alert. Additional payload elements may be present, for example for compliance with jurisdictional accessibility requirements, literacy issues, or translations of the unstructured text content in other languages.</p> <p>This <code>payload</code> element shall have a <code>contentAttachment</code> element that meets the following requirements:</p> <ul style="list-style-type: none"> <code>contentAttachment.language</code> shall contain the code for the language of the alert text in the <code>contentAttachment.title</code> <code>contentAttachment.title</code> shall contain the unstructured plain text content of the alert to be communicated <code>contentAttachment.contentType</code> shall have the value “text/plain” | Attachment |
| priority [1..1] | <p>This cardinality differs from the cardinality in the FHIR CommunicationRequest Resource.</p> <ul style="list-style-type: none"> The value for priority shall be taken from FHIR code system RequestPriority. See http://hl7.org/fhir/request-priority. | code |

3.84.4.1.2.1.1 FHIR CommunicationRequest Resource Constraints – Disseminate and Report Alert Status Option

690

For Alert Reporter and Alert Aggregator Actors that support the Disseminate and Report Alert Status Option, the additional constraints in Table 3.84.4.1.2.1-1 apply to the CommunicationRequest Resource.

Table 3.84.4.1.2.1.1-1: Additional Resource Constraints for the Disseminate and Report Alert Status Option

| Data Field & Cardinality | Description & Constraints | FHIR Data Type |
|--------------------------|--|-----------------|
| reasonCode [1..*] | <p>This element identifies secondary characteristics of the alert.</p> <ul style="list-style-type: none"> The <code>coding.code</code> attribute value is defined in the “Code” column of Table 3.84.5.1-2, as appropriate to the business context The value <code>coding.system</code> attribute value is defined in the “Code System” column of Table 3.84.5.1-2 | CodeableConcept |

695 **3.84.4.1.3 Expected Actions**

The Alert Aggregator shall issue a Mobile Report Alert Response upon validation of a received Mobile Report Alert Request. See Section 3.84.4.2.

The Alert Aggregator shall respond with appropriate HTTP error codes as described at <http://hl7.org/fhir/R4/http.html#create> if any of the following conditions are met:

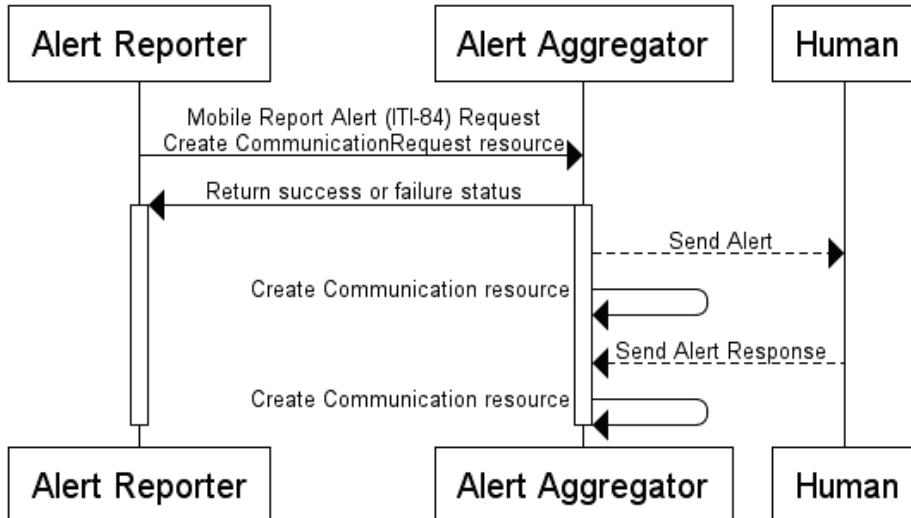
- 700
 - Return 400 if the Mobile Report Alert Request was invalid
 - Return 422 with an OperationOutcome Resource if the alert `CommunicationRequest.category.code` has value “pcd-alert” and the Alert Aggregator does not support the Disseminate and Report Alert Status Option

If the Mobile Report Alert Request is valid, the Alert Aggregator shall create a CommunicationRequest Resource as described at <http://hl7.org/fhir/R4/communicationrequest.html> and constrained in Section 3.84.4.1.2.1.

The Alert Aggregator shall also create a Communication Resource as described at <http://hl7.org/fhir/R4/communication.html> and constrained in Section 3.84.4.1.3.1 for each alert that it sends.

710 For each alert response received, the Alert Aggregator shall create a Communication Resource as constrained in Section 3.84.4.1.3.1 and in Section 3.84.4.1.3.2 and update the `CommunicationRequest.status` field according to the translation tables in Section 3.84.5.2.

Figure 3.84.4.1.3-1 shows the sequencing of the FHIR Resource creation.



715

Figure 3.84.4.1.3-1: Process flow diagram for FHIR Resource creation

The text in Figure 3.84.4.1.3-2 was used to generate the diagram in Figure 3.84.4.1.3-1. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

720

```

title
participant Alert Reporter
participant Alert Aggregator

Alert Reporter->Alert Aggregator: Mobile Report Alert (ITI-84) Request\nCreate
CommunicationRequest resource
Alert Aggregator->Alert Reporter: Return success or failure status

activate Alert Reporter
activate Alert Aggregator

Alert Aggregator-->Human: Send Alert
Alert Aggregator->Alert Aggregator: Create Communication resource
Human-->Alert Aggregator: Send Alert Response
Alert Aggregator->Alert Aggregator: Create Communication resource

deactivate Alert Reporter
deactivate Alert Aggregator
    
```

725

730

735

740

Figure 3.84.4.1.3-2: Pseudocode for Process flow diagram for FHIR Resource creation

3.84.4.1.3.1 FHIR Communication Constraints

The FHIR Communication Resource shall be constrained as described in Table 3.84.4.1.3.1-1.

745

Table 3.84.4.1.3.1-1: Communication Resource Constraints

| Data Field & Cardinality | Description & Constraints | FHIR Data Type |
|--------------------------|---|-----------------------------------|
| meta.lastUpdated [1..1] | This cardinality differs from the cardinality in the FHIR Communication Resource. The last time that the Communication Resource was updated or an associated alert dissemination status was updated. | instant |
| basedOn [1..*] | This cardinality differs from the cardinality in the FHIR Communication Resource. A reference to the CommunicationRequest Resource that triggered the creation of this Communication Resource. | Reference (Communication Request) |
| reasonCode [0..*] | This element identifies secondary characteristics of the alert. If the Alert Aggregator is exercising the Disseminate and Report Alert Status Option, the cardinality is [1..*] and one reasonCode element shall further be constrained so that: <ul style="list-style-type: none"> The coding.code attribute value is defined in the “Code” column of Table 3.84.5.1-2, as appropriate to the business context The value coding.system attribute value is defined in the “Code System” column of Table 3.84.5.1-2 | CodeableConcept |

3.84.4.1.3.2 FHIR Communication Constraints for Responses

When the FHIR Communication Resource is a response to the initial alert, it shall also be constrained as described in Table 3.84.4.1.3.2-1.

Table 3.84.4.1.3.2-1: Communication Resource Constraints for Responses

| Data Field & Cardinality | Description & Constraints | FHIR Data Type |
|--------------------------|---|---------------------------|
| inResponseTo [1..*] | This cardinality differs from the cardinality in the FHIR Communication Resource. A reference to the Communication Resource that this is in response to. | Reference (Communication) |

750

3.84.4.1.3.3 Expected Actions – Disseminate and Report Alert Status Option

Under the Disseminate and Report Alert Status Option, if the Mobile Report Alert Request contains a value of “pcd-alert” in CommunicationRequest.category.code, then the Alert

Aggregator grouped with the ACM Alert Manager shall disseminate the alert to recipients identified in `CommunicationRequest.recipient` using the Disseminate Alert [PCD-06] transaction. The grouped actor shall record dissemination status updates related to the dissemination of the alert according to the translation tables in Section 3.84.5.2. Additional constraints on the `CommunicationRequest.category` and `CommunicationRequest.reasonCode` data fields are defined in Table 3.84.5.1-1 and Table 3.84.5.1-2 respectively.

For each valid Report Dissemination Alert Status [PCD-07] request the Alert Aggregator receives, it shall create a Communication Resource as described in Section 3.84.4.1.3.1 and update the `CommunicationRequest.status` field according to the translation tables in Section 3.84.5.2.

Figure 3.84.4.1.3.3-1 shows the sequencing of the transactions for the Disseminate and Report Alert Status Option.

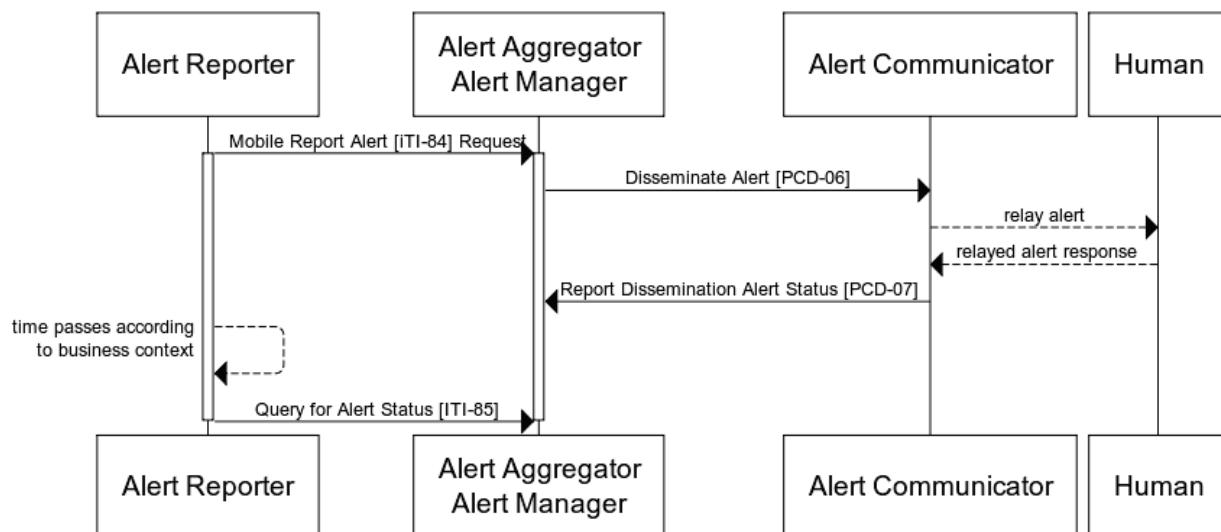


Figure 3.84.4.1.3.3-1: Process Flow Diagram for Disseminate and Report Alert Status

The text in Figure 3.84.4.1.3.3-2 was used to generate the diagram in Figure 3.84.4.1.3.3-1. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

```
775    title
          participant Alert Reporter
          participant Alert Aggregator\nAlert Manager

780    Alert Reporter->Alert Aggregator\nAlert Manager: Mobile Report Alert (ITI-84) Request
          activate Alert Reporter
          activate Alert Aggregator\nAlert Manager

785    Alert Aggregator\nAlert Manager->Alert Communicator: Disseminate Alert (PCD-06)
          Alert Communicator-->Human: relay alert
          Human-->Alert Communicator: relayed alert response
          Alert Communicator->Alert Aggregator\nAlert Manager: Report Dissemination Alert Status (PCD-07)

790

795    Alert Reporter-->Alert Reporter: time passes according\n to business context
          Alert Reporter->Alert Aggregator\nAlert Manager: Query for Alert Status [ITI-85]

800    deactivate Alert Reporter
          deactivate Alert Aggregator\nAlert Manager
```

Figure 3.84.4.1.3.3-2: Pseudocode for Process Flow Diagram for Alert Disseminate and Report Alert Status

3.84.4.2 Mobile Report Alert Response

The Mobile Report Alert transaction uses the response semantics as appropriate according to the FHIR operation initiated by the Alert Reporter.

3.84.4.2.1 Trigger Events

805 An Alert Aggregator sends a Mobile Report Alert Response to the Alert Reporter upon validation of a received Mobile Report Alert Request.

3.84.4.2.2 Message Semantics

The Alert Aggregator shall respond with the appropriate response codes as defined at <http://hl7.org/fhir/R4/http.html#create>.

810 **3.84.4.2.3 Expected Actions**

There are no additional actions required on the Alert Reporter upon receipt of the Mobile Report Alert Response.

If an Alert Reporter does not receive a valid Mobile Report Alert Response, it may reinitiate the transaction.

815 **3.84.5 Alert Terminologies and Mappings**

This section contains tables of terminologies referenced as well as mappings between referenced terminologies for the Mobile Report Alert [ITI-84] transaction.

3.84.5.1 Defined Terminologies

820 This section contains tables of terminologies referenced in the Mobile Report Alert [ITI-84] transaction.

The following table contains values, which shall be used by the Alert Reporter in the Mobile Report Alert Request message for `CommunicationRequest.category`.

Table 3.84.5.1-1: Mobile Report Alert Category Code System - 1.3.6.1.4.1.19376.1.2.5.1

| Code | Meaning |
|-----------|---|
| alert | Signifies that this communication is intended to be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3. |
| pcd-alert | Signifies that this communication is intended to be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3 and disseminated according to the Disseminate and Report Alert Status Option. For example, when the Alert Reporter wants the message disseminated by the in-house PCD system rather than the general contact method. |

825 The following table contains values which shall be used by the Alert Reporter in the Mobile Alert Request message for `CommunicationRequest.reasonCode`. These are secondary characteristics that apply to an alert that is intended for dissemination under the Disseminate and Report Alert Status Option.

Table 3.84.5.1-2: Mobile Report Characteristics Value Set OID - 1.3.6.1.4.1.19376.1.2.5.3

| Codes | Code System | List of codes |
|----------------|-----------------------------|----------------------|
| All Codes from | 1.3.6.1.4.1.19376.1.2.5.3.1 | See Table 3.84.5.1-3 |
| All Codes from | 1.3.6.1.4.1.19376.1.2.5.3.2 | |
| All Codes from | 1.3.6.1.4.1.19376.1.2.5.3.3 | |
| All Codes from | 1.3.6.1.4.1.19376.1.2.5.3.4 | |
| All Codes from | 1.3.6.1.4.1.19376.1.2.5.3.5 | |

830

The code systems defined for this transaction are found in Table 3.84.5.1-3. This table is adapted from PCD TF-2: Table 8-3.

Table 3.84.5.1-3: Mobile Report Characteristics Code System

| Code | Code System | Meaning |
|--------------|-----------------------------|--|
| N | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Normal, not abnormal |
| L | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Below low normal |
| LL | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Below lower panic limits |
| H | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Above high normal |
| HH | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Above higher panic limits |
| A | 1.3.6.1.4.1.19376.1.2.5.3.1 | Abnormal Type: Abnormal (for non-numeric results) |
| tpoint | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: time point |
| start | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: start (of an interval event/alert) – an end is expected |
| start_only | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: start – continue and end are not to be expected |
| continue | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: continuation (of an ongoing interval event/alert) |
| end | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: end (of an interval event/alert) |
| present | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: event/alert is active at this time |
| update | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: Update |
| escalate | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: escalation of an ongoing alert/alarm |
| inactivate | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: Inactivation (e.g., silence) |
| deescalate | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: de-escalation of an ongoing alert/alarm |
| reset | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: clear latched alarm |
| stop | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: pause an event/alert; could restart with same ID later |
| change | 1.3.6.1.4.1.19376.1.2.5.3.2 | Event Phase: change |
| SP | 1.3.6.1.4.1.19376.1.2.5.3.3 | Alert Source: alarm – physiological |
| ST | 1.3.6.1.4.1.19376.1.2.5.3.3 | Alert Source: alarm – technical |
| SA | 1.3.6.1.4.1.19376.1.2.5.3.3 | Alert Source: alarm – advisory |
| alarm-paused | 1.3.6.1.4.1.19376.1.2.5.3.4 | Inactivation State: Alarm is paused |
| alarm-off | 1.3.6.1.4.1.19376.1.2.5.3.4 | Inactivation State: Alarm is off |
| audio-paused | 1.3.6.1.4.1.19376.1.2.5.3.4 | Inactivation State: Audio is paused |
| audio-off | 1.3.6.1.4.1.19376.1.2.5.3.4 | Inactivation State: Audio is off |
| inactive | 1.3.6.1.4.1.19376.1.2.5.3.5 | Alert State: inactive |

| Code | Code System | Meaning |
|-------------|-----------------------------|----------------------|
| active | 1.3.6.1.4.1.19376.1.2.5.3.5 | Alert State: active |
| latched | 1.3.6.1.4.1.19376.1.2.5.3.5 | Alert State: latched |

835 **3.84.5.2 Mappings Between Terminologies**

This section contains mappings of terminologies referenced in the Mobile Report Alert [ITI-84] transaction for use in the Disseminate and Report Alert Status Option. The translation tables provide a mapping from the FHIR CommunicationRequest Resource to the data fields in the Disseminate Alert [PCD-06] and Report Dissemination Alert Status [PCD-07] transactions.

840 **Table 3.84.5.2-1: Disseminate Alert Field Translation**

| PCD-06 Data Field | CommunicationRequest Resource Data Field | Comments |
|--------------------------|--|--|
| Alert_Location | CommunicationRequest.sender(Device.location) | Examples in [PCD-06] refer to Devices. If sender refers to a Device Resource, then you can get the Location from that. |
| Alert_Patient | CommunicationRequest.subject | |
| Alert_Identifier | CommunicationRequest.id | |
| Alert_Callback | | Not mapped |
| Alert_Reference | URL of the CommunicationRequest Resource | |
| Alert_Comment | CommunicationRequest.payload.contentAttachment.title | |
| Alert_Evidentiary_Data | | Not mapped |

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Table 3.84.5.2-2: Disseminate Alert Status Field Translation

| PCD-06 Data Field | Communication or CommunicationRequest Resource Data Field | Comments |
|-------------------|---|--|
| Alert_Identifier | CommunicationRequest.id | |
| Alert_Status | CommunicationRequest.status | The value in RequestStatus shall be mapped according to Table 3.84.5.2-3 |
| | Communication.status | The value in EventStatus shall be mapped according to Table 3.84.5.2-3 |
| | Communication.reasonCode | This value shall be encoded according to Table 3.84.5.1-2 |

Table 3.84.5.2-3 contains the mapping from the Alert_Status codes used in the Report Dissemination Alert Status [PCD-07] transaction to the RequestStatus value set defined at <http://hl7.org/fhir/R4/codesystem-request-status.html>.

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Table 3.84.5.2-3: Alert Status Value Set Mapping

| Alert_Status code from [PCD-07] | Code from FHIR RequestStatus value set | Code from FHIR EventStatus value set |
|---------------------------------|--|--------------------------------------|
| Received | active | in-progress |
| Undeliverable | revoked | stopped |
| Delivered | active | in-progress |
| Read | completed | completed |
| Accepted | completed | completed |
| AcceptedPositive | completed | completed |
| AcceptedNotRelevant | completed | completed |
| AcceptedFalse | completed | completed |
| Rejected | revoked | stopped |
| Cancelled | revoked | stopped |
| CancelledOther | revoked | stopped |

| Alert_Status code from [PCD-07] | Code from FHIR RequestStatus value set | Code from FHIR EventStatus value set |
|--|---|---|
| CallBackStart | active | in-progress |
| CallBackEnd | active | in-progress |

Table 3.84.5.2-4 contains a mapping from the facets for the Report Alert [PCD-04], Disseminate Alert [PCD-06], and Disseminate Alert Status Report [PCD-07] transactions to the FHIR CommunicationRequest Resource data fields as extended by this transaction.

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Table 3.84.5.2-4: Disseminate Alert Facet Translation

| PCD-04, PCD-06 and PCD-07 Facet | CommunicationRequest Resource Data Field | Comments |
|--|---|--|
| Event identification | CommunicationRequest.id | |
| Source identification | CommunicationRequest.sender.id | Applicable only in the case that the sender was a device |
| Event phase | CommunicationRequest.reasonCode for the code system 1.3.6.1.4.1.19376.1.2.5.3.2 | |
| Alert state | CommunicationRequest.reasonCode for the code system 1.3.6.1.4.1.19376.1.2.5.3.5 | |
| Inactivation state | CommunicationRequest.reasonCode for the code system 1.3.6.1.4.1.19376.1.2.5.3.4 | |
| Alarm priority | CommunicationRequest.priority.code | |
| Alert type | CommunicationRequest.reasonCode for the code system 1.3.6.1.4.1.19376.1.2.5.3.3 | |

The following table contains a mapping which shall be used by the Alert Reporter in the Mobile Report Alert Request message for CommunicationRequest.priority. This table is adapted from PCD TF-2: Table 8-4 and maps to the RequestPriority value set at <http://hl7.org/fhir/request-priority>.

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Table 3.84.5.2-5: Mobile Report Alert Priority Code System

| Code | Code from RequestPriority value set | Comments |
|-------------|--|---|
| PN | routine | Since this field is required, there is no option to not have a priority, so default to routine. |
| PL | urgent | |
| PM | asap | |

| Code | Code from RequestPriority value set | Comments |
|------|-------------------------------------|----------|
| PH | stat | |

3.84.6 Security Considerations

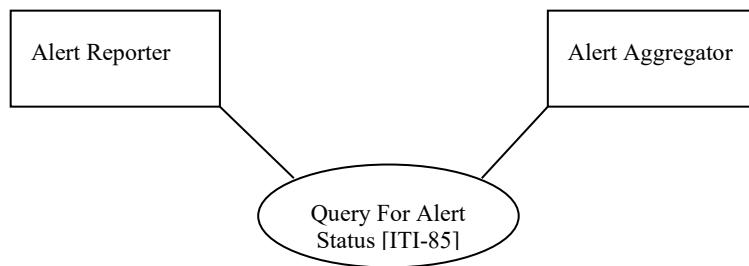
See ITI TF-2x: Appendix Z.8 for common mobile security considerations.

3.85 Query for Alert Status [ITI-85]

865 3.85.1 Scope

This transaction is used by an Alert Reporter to determine from the Alert Aggregator the status and any acknowledgements of one or more alerts.

3.85.2 Actor Roles



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Figure 3.85.2-1: Use Case Diagram

Table 3.85.2-1: Actor Roles

| | |
|---------------|--|
| Actor: | Alert Reporter |
| Role: | Queries an Alert Aggregator for the status of one or more alerts that it issued. |
| Actor: | Alert Aggregator |
| Role: | Sends any status messages and human recipient acknowledgments for the indicated alerts |

3.85.3 Referenced Standards

- HL7 FHIR standard R4 <http://hl7.org/fhir/R4/index.html>
- HL7 - Health Level 7 Version 2.6 Ch7 Observation Reporting
- ISO/IEEE 11073-10201 Domain Information Model
- ISO/IEEE 11073-10101 Nomenclature

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- World Geodetic System WGS-84

3.85.4 Messages



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Figure 3.85.4-1: Query for Alert Status Sequence Diagram

The text in Figure 3.85.4-2 was used to generate the diagram in Figure 3.85.4-1. Readers will generally find the diagram more informative. The text is included here to facilitate editing.

885

```
title
Alert Reporter->Alert Aggregator: Query for Alert Status Request [ITI-85]\nFHIR Search
Communication
activate Alert Reporter
activate Alert Aggregator
Alert Aggregator->Alert Reporter: Query for Alert Status Response [ITI-85]\nFHIR Bundle of
Communications
```

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Figure 3.85.4-2: Pseudocode for Query for Alert Status Sequence Diagram

3.85.4.1 Query for Alert Status Request Message

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The Query for Alert Status Request message is a FHIR search operation on the CommunicationRequest and Communication Resources.

3.85.4.1.1 Trigger Events

An Alert Reporter triggers a Query for Alert Status Request to an Alert Aggregator according to the business rules for the alert(s) of interest. These business rules are out of scope of this profile.

3.85.4.1.2 Message Semantics

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An Alert Reporter initiates a search request using HTTP GET as defined at <http://hl7.org/fhir/R4/http.html#search> on the CommunicationRequest Resource or the Communication Resource.

An Alert Aggregator shall support combinations of search parameters as defined at <http://hl7.org/fhir/R4/search.html#combining>, “Composite Search Parameters.”

- 905 The Alert Aggregator shall support all search parameters at <http://hl7.org/fhir/R4/communicationrequest.html#search> and <http://hl7.org/fhir/R4/communication.html#search>. An Alert Aggregator shall support receiving a request for both the JSON and the XML messaging formats as defined in FHIR. An Alert Reporter shall request either the XML or the JSON messaging formats as defined in FHIR. See
910 ITI TF-2x: Appendix Z.6 for more details.

3.85.4.1.3 Expected Actions

The Alert Aggregator shall return matching Communication or CommunicationRequest Resources in a Query for Alert Status.

3.85.4.2 Query for Alert Status Response Message

- 915 The Query for Alert Status [ITI-85] transaction uses the response semantics as defined at <http://hl7.org/fhir/R4/http.html#search> as applicable for the CommunicationRequest Resource, as defined at <http://hl7.org/fhir/R4/communicationrequest.html>, or the Communication Resource, as defined at <http://hl7.org/fhir/R4/communication.html>.

3.85.4.2.1 Trigger Events

- 920 The Alert Aggregator sends the Query for Alert Status Response to the Alert Reporter when results to the query are ready.

3.85.4.2.2 Message Semantics

- 925 The Alert Aggregator shall support the search response message as defined at <http://hl7.org/fhir/R4/http.html#search> on the CommunicationRequest Resource, defined at <http://hl7.org/fhir/R4/communicationrequest.html> or the Communication Resource, defined at <http://hl7.org/fhir/R4/communication.html>.

3.85.4.2.3 Expected Actions

This behavior is not further defined or constrained by IHE.

3.85.5 Alert Terminologies and Mappings

- 930 The alert terminologies and their mappings are described in Section 3.84.5.

3.85.6 Security Considerations

See ITI TF-2x: Appendix Z.8 for common mobile security considerations.

Volume 2 Namespace Additions

Add the following terms to the IHE General Introduction Appendix G:

- 935 The mACM Profile defines following OIDs:
- 1.3.6.1.4.1.19376.1.2.5 the root OID for the mACM Profile
 - 1.3.6.1.4.1.19376.1.2.5.1 the OID for the code set used by mACM for specifying the category of a FHIR CommunicationRequest or Communication Resource
 - 1.3.6.1.4.1.19376.1.2.5.3 the OID for the value set used by mACM for specifying the reasonCode of a FHIR CommunicationRequest or Communication Resource
 - 1.3.6.1.4.1.19376.1.2.5.3.1 the OID for the code set used by mACM for PCD abnormal type
 - 1.3.6.1.4.1.19376.1.2.5.3.2 the OID for the code set used by mACM for PCD event phase
 - 1.3.6.1.4.1.19376.1.2.5.3.3 the OID for the code set used by mACM for PC alert type
 - 1.3.6.1.4.1.19376.1.2.5.3.4 the OID for the code set used by mACM for PCD inactivation state
 - 1.3.6.1.4.1.19376.1.2.5.3.5 the OID for the code set used by mACM for PCD alert state
- 940
- 945