

Integrating the Healthcare Enterprise



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**IHE IT Infrastructure
Technical Framework Supplement**

10

**Cross-Community Patient Discovery
(XCPD)
Health Data Locator and Revoke Option**

15

Trial Implementation

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Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.

Foreword

30 This is a supplement to the IHE IT Infrastructure Technical Framework V11.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on October 13, 2014 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the IT Infrastructure
35 Technical Framework. Comments are invited and may be submitted at http://www.ihe.net/ITI_Public_Comments.

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

40

<i>Amend Section X.X by the following:</i>
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Where the amendment adds text, make the added text **bold underline**. Where the amendment removes text, make the removed text **~~bold strikethrough~~**. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

45

General information about IHE can be found at: <http://www.ihe.net>.

Information about the IHE IT Infrastructure domain can be found at: http://www.ihe.net/IHE_Domains.

50 Information about the structure of IHE Technical Frameworks and Supplements can be found at: http://www.ihe.net/IHE_Process and <http://www.ihe.net/Profiles>.

The current version of the IHE Technical Framework can be found at: http://www.ihe.net/Technical_Frameworks.

CONTENTS

55	Introduction.....	4
	Open Issues and Questions	4
	Closed Issues.....	4
	Volume 1 – Integration Profiles.....	6
60	Glossary	6
	1.7 History of Annual Changes.....	6
	27.1.1 Actors	8
	27.2 XCPD Integration Profile Options.....	9
	27.2.1 Asynchronous Web Services Exchange Option.....	10
65	27.2.2 Deferred Response Option	10
	27.2.3 Health Data Locator Option	11
	27.2.4 Revoke Option.....	11
	Appendix B: Transaction Summary Definitions.....	14
	Volume 2b - Transactions	15
70	3.55.4 Interaction Diagram.....	16
	3.56 Patient Location Query	23
	3.56.1 Scope	23
	3.56.2 Use Case Roles.....	23
	3.56.3 Referenced Standard	24
75	3.56.4 Interaction Diagram.....	24
	3.56.5 Security Considerations.....	28
	3.56.6 Protocol Requirements	32

Introduction

80 This document adds two optional capabilities to the Cross-Community Patient Discovery Profile by adding new material to ITI TF Volumes 1 and 2b describing these options. These two options were extracted from the XCPD Profile prior to the XCPD Profile being moved from Trial Implementation to Final Text status due to these options not meeting the criteria for being promoted to final text.

85 Open Issues and Questions

- **X021:** Use of revoke when Initiating Gateway does not choose to send a patient identifier in the Patient Discovery request. This is only allowed when the Initiating Gateway is not grouped with a Responding Gateway. The Patient Discovery request receiver can also not send a revoke unless grouped with an Initiating Gateway. Assuming that both sides
90 contain a grouping of Initiating and Responding, and the rule regarding specification of a patient identifier in the request is ignored, the responder to the Patient Discovery request has no patient identifier to create a correlation with, so will have difficulty, and no real purpose, for saving any information about the request. So it will most likely have no reason to send a revoke. So this function is not supported, the initiating side must supply
95 a patient identifier in order to receive a revoke.

Closed Issues

- **X004:** During the development of this profile we considered an environment where a Health Data Locator existed external to any community. This is slightly more complicated an environment than this capability within a community. Because of the lack
100 of a clear requirement this environment is not currently addressed in the profile.
- **X005:** Should the QD and QDLA be merged? After review of the details of each it was agreed that only one transaction was needed, with an optional return attribute indicating support for the QIL transaction for this patient identifier.
- **X007:** Consider other names for Location Authority. Agreed to use Health Data Locator
105 – all references to Location Authority replaced with Health Data Locator.
- **X010:** Need names for the transactions.
 - a. **QIL - Patient Location Query**
- **X015:** Should the Patient Location Query re-use the XDS error codes as described in
110 Section 3.56.4.1.3 or should it create new error codes that are specific to the cross-community environment. Resolution: Create new error codes for the transaction. Error codes are delivered a SOAP faults, so no dependency on XDS in this transaction.
- **X016:** Should the Cross Gateway Patient Discovery transaction provide coded values to describe events like:
 - I know the person but I have no data for them and I don't have an identifier to share

115 with you (in this case should respond as if you don't know this person)
- I know the person but I'm not willing to share data with you unless you follow a manual
procedure (in this case respond either way, and manual process is out of scope)
- I can't give you that answer (all of the above generically?)
120 The above can be coded within DetectedIssueManagement code value. Resolution: All
the cases listed are reflected in one coded value "AnswerNotAvailable" – since they
require human intervention it was felt that separate error codes were not necessary.

- 125 • **X018:** What standard should the Patient Location Query be based on? The following
were considered: ebXML, HL7 V3, PIXV3. These were discarded because they did not
directly address the need, which is very simple. By convoluting PIXV3 or any other HL7
V3 message we could carry the right information needed for the transaction, but it would
have been extremely complicated and confusing. There would be significant excess
baggage (meaning XML elements) carried on the transaction for no reason. For these
reasons the implementation challenges were felt to be too great. ebXML was also
130 considered, and while it does carry the data in a reasonable way, it seemed also too heavy
handed for the simple transaction. So the transaction uses Web Services and an IHE
defined schema to accomplish the need.
- 135 • **X019:** Patient Location Query support for multiple health data locators for different kinds
of data. This requirement was not addressed because of the desire to keep the Patient
Location Query strictly focused on location of potential data and not bleed into the
transaction information beyond the location – like types of data at a location. It is felt that
the XCA Query supports the ability to search for types of data and that function should be
addressed at that layer of the workflow.

140

Volume 1 – Integration Profiles

Glossary

Add the following term to the Glossary:

145 **Health Data Locator:** Health Data Locator is a function provided by a community or external entity that manages the locations of patient health data for a selected set of patients. A Health Data Locator keeps track of communities that know a patient and provides a list of these communities to a requesting community.

1.7 History of Annual Changes

Add the following bullet to the end of the bullet list in Section 1.7

- 150
- Added XCPD Profile options that support the means to indicate a patient match should be revoked and added the option to locate communities which hold patient relevant health data.

Replace existing XCPD Actor Diagram 27.1-1 with the following diagram:

155

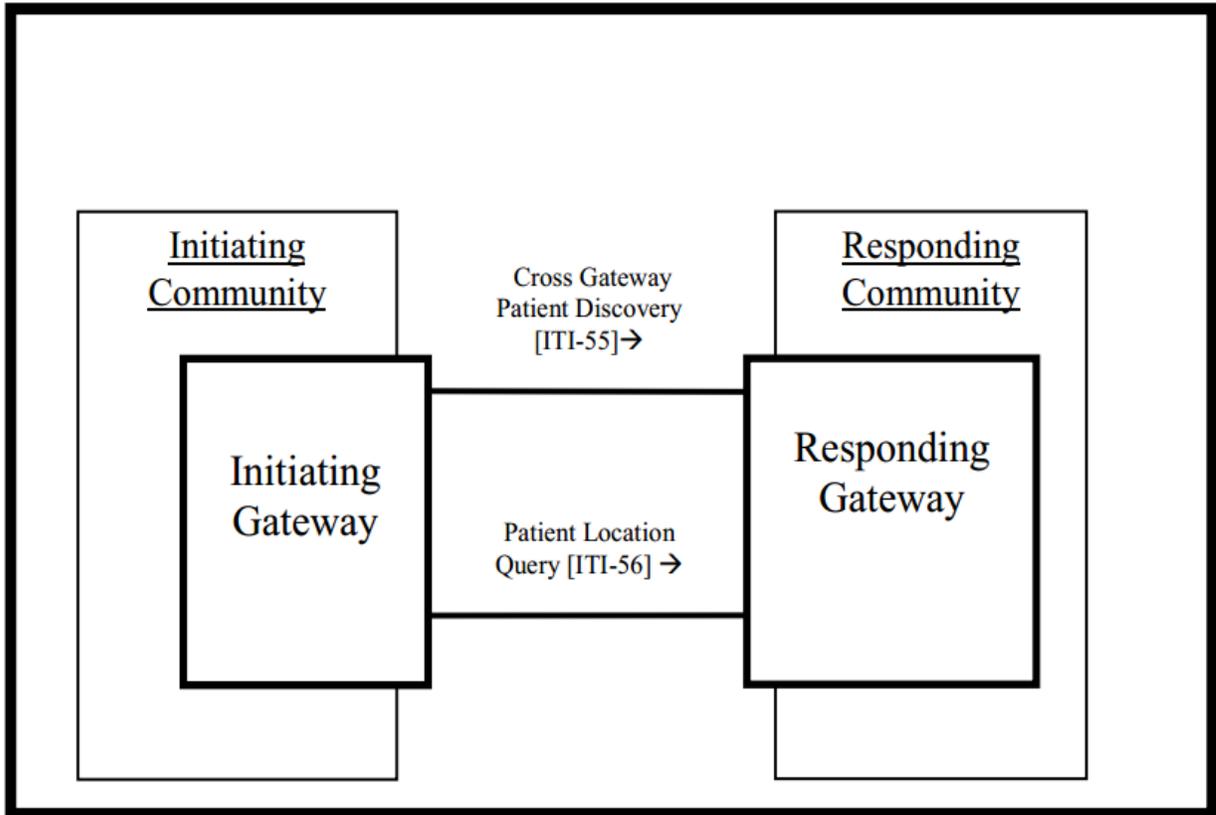


Figure 27.1-1: XCPD Actor Diagram

160

Update Table 27.1-1 as shown:

Table 27.1-1: XCPD Integration Profile - Actors and Transactions

Actors	Transactions	Optionality	Section
Initiating Gateway	Cross Gateway Patient Discovery [ITI-55]	R	ITI TF-2b:3.55
	<u>Patient Location Query [ITI-56]</u>	<u>Q</u>	<u>ITI TF-2b:3.56</u>
Responding Gateway	Cross Gateway Patient Discovery [ITI-55]	R	ITI TF-2b:3.55
	<u>Patient Location Query [ITI-56]</u>	<u>Q</u>	<u>ITI TF-2b:3.56</u>

165

Update Section 27.1.1 as shown

27.1.1 Actors

170 **27.1.1.1 Initiating Gateway**

The Initiating Gateway supports all outgoing inter-community communications. XCPD uses this actor to initiate the Cross Gateway Patient Discovery [ITI-55] **and, optionally, the Patient Location Query [ITI-56] transactions**. The Initiating Gateway is required to support synchronous transaction messaging and may declare an option to support Asynchronous Web Services Exchange. Choosing Asynchronous Web Services Exchange will allow the Initiating Gateway to support workflows which scale to large numbers of communities because Asynchronous Web Services Exchange allows for more efficient handling of latency and scale.

27.1.1.2 Responding Gateway

180 The Responding Gateway supports all incoming inter-community communications. XCPD uses this actor to receive the Cross Gateway Patient Discovery [ITI-55] **and, optionally, the Patient Location Query [ITI-56] transactions**. The Responding Gateway is required to support Asynchronous Web Services Exchange on all implemented transactions. This allows the Initiating Gateway to choose the best of the two messaging patterns (synchronous or asynchronous) that fit the needs of the workflow. Support for Asynchronous Web Services
185 Exchange allows for workflows which scale to large numbers of communities because it can handle latency and scale more efficiently.

Update Section 27.1.2.1 as shown:

190 **27.1.2.1 Cross Gateway Patient Discovery [ITI-55]**

The Cross Gateway Patient Discovery transaction supports the ability for Initiating Gateways and Responding Gateways to discover mutually known patients. This transaction assumes an environment where patient data is well described and high quality demographic data is available. Because the transaction supports the mutual discovery of patients it can be seen as having dual
195 purposes.

- To support a query by the Initiating Gateway requesting a demographically matching patient from within the Responding Gateway's community.
- To support a feed to Responding Gateway announcing that the patient is known by the Initiating Gateway's community.

200 This dual nature of the transaction is chosen for scalability purposes, as demographic matching algorithms are expensive on a large scale and once a match is identified it is important that both the initiating and responding sides of the transaction can use the results of that successful match.

The Cross Gateway Patient Discovery transaction has several modes, useful in different environments:

- 205 • Demographic Query only mode – in this mode only the demographics of the patient are included in the request. The initiating community does not have, or does not choose to specify, a patient identifier for use by the Responding Gateway.
- Demographic Query and Feed – in this mode both the demographic and initiating community identifier are included in the request.
- 210 • Shared/national Patient Identifier Query and Feed – in this mode only a shared/national identifier is specified. Demographics are not necessary because matching can be done on the identifier alone.

215 **The Cross Gateway Patient Discovery transaction also supports the ability for Initiating Gateways to send a revoke message to Responding Gateways when a prior patient identifier correlation may no longer be valid. The revoke message is used when Responding Gateways and Initiating Gateways may have cached the correlation identified as part of a Cross Gateway Patient Discovery transaction.**

This transaction can be used synchronously and asynchronously.

220 *Add Section 27.1.2.2*

27.1.2.2 Patient Location Query [ITI-56]

The Patient Location Query supports the ability for an Initiating Gateway to query the Responding Gateway for a list of communities which may have relevant health data about particular patients. This transaction can be used synchronously and asynchronously.

225

Update Section 27.2 as shown:

27.2 XCPD Integration Profile Options

230 Options that may be selected for this Integration Profile are listed in Table 27.2-1 along with the Actors to which they apply. Dependencies between options when applicable are specified in notes.

Table 27.2-1: XCPD - Actors and Options

Actor	Options	Vol & Section
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Actor	Options	Vol & Section
Initiating Gateway	<i>Asynchronous Web Services Exchange</i>	ITI TF-1: 27.2.1
	<i>Deferred Response</i>	ITI TF-1:27.2.2
	<u>Health Data Locator Option</u>	<u>ITI TF-1: 27.2.3</u>
	<u>Revoke Option</u>	<u>ITI TF-1: 27.2.4</u>
Responding Gateway	<i>Deferred Response</i>	ITI TF-1:27.2.2
	<u>Health Data Locator Option</u>	<u>ITI TF-1: 27.2.3</u>
	<u>Revoke Option</u>	<u>ITI TF-1: 27.2.4</u>

27.2.1 Asynchronous Web Services Exchange Option

235 Initiating Gateways which support Asynchronous Web Services Exchange shall support Asynchronous Web Services Exchange on the Cross Gateway Patient Discovery [ITI-55] **and Patient Location Query [ITI-56] transactions**. Asynchronous processing is necessary to support scaling to large numbers of communities because Asynchronous Web Services Exchange allows for more efficient handling of latency and scale.

240 27.2.2 Deferred Response Option

Responding Gateways which support the Deferred Response Option shall support Deferred Response as described in ITI TF-2b: 3.55.6.2 on the Cross Gateway Patient Discovery [ITI-55] transaction.

245 Initiating Gateways which support the Deferred Response Option shall support Deferred Response as described in ITI TF-2b: 3.55.6.2 on the Cross Gateway Patient Discovery [ITI-55] transaction.

250 The Deferred Response option reflects the more detailed understanding and feedback from implementers regarding processing that may result in significant delay. The existing Asynchronous Web Services Exchange Option can support some scenarios with delayed response but not environments where the delay in responding may be as much as days or weeks. These cases require a mechanism that is managed by the application and which supports recovery through system restart. Deferred Response mode provides applications with such capability. In doing so it also adds responsibilities to the application, in particular for managing message correlation, creating application level acknowledgements and determining where to send a Deferred Response message. The new flexibility allowed by the Deferred Response option is deemed worthy of these additional requirements on the application. For more information about Deferred Response and Asynchronous messaging in general see http://wiki.ihe.net/index.php?title=Asynchronous_Messaging.

27.2.3 Health Data Locator Option

260 **Initiating Gateways which support the Health Data Locator Option shall support the Patient Location Query [ITI-56] transaction to request the location of a patient (or set of patients) health data.**

265 **Responding Gateways which support the Health Data Locator Option shall collect locations of health data for selected patients and make that information available to Initiating Gateways from other communities via the Patient Location Query [ITI-56] transaction.**

27.2.4 Revoke Option

270 **XCPD allows for the caching of correlations resulting from the Cross Gateway Patient Discovery transaction. This caching is not required of any XCPD implementation but when used may be combined with use of the revoke message of the Cross Gateway Patient Discovery [ITI-55] transaction to invalidate cached correlations.**

Initiating Gateways which support the Revoke Option shall be able to use the revoke message of the Cross Gateway Patient Discovery [ITI-55] transaction to notify a Responding Gateway that a patient identifier correlation may no longer be valid.

275 **Responding Gateways which support the Revoke Option shall be able to receive the revoke message of the Cross Gateway Patient Discovery [ITI-55] transaction to be notified by an Initiating Gateway that a patient identifier correlation is no longer valid.**

<i>Add the following text to the end of Section 27.3.2.1</i>
--

280 **27.3.2.1 Illustration of use of Transactions (Informative)**
(all existing text goes here)

Scenario # 2: Use of Health Data Locator (Informative)

285 **Figure 27.3.2.1-2 shows the transactions involved in sharing healthcare data for one patient among three communities. In this scenario community C is a Health Data Locator for the patient. Details on each interaction follow the diagram.**

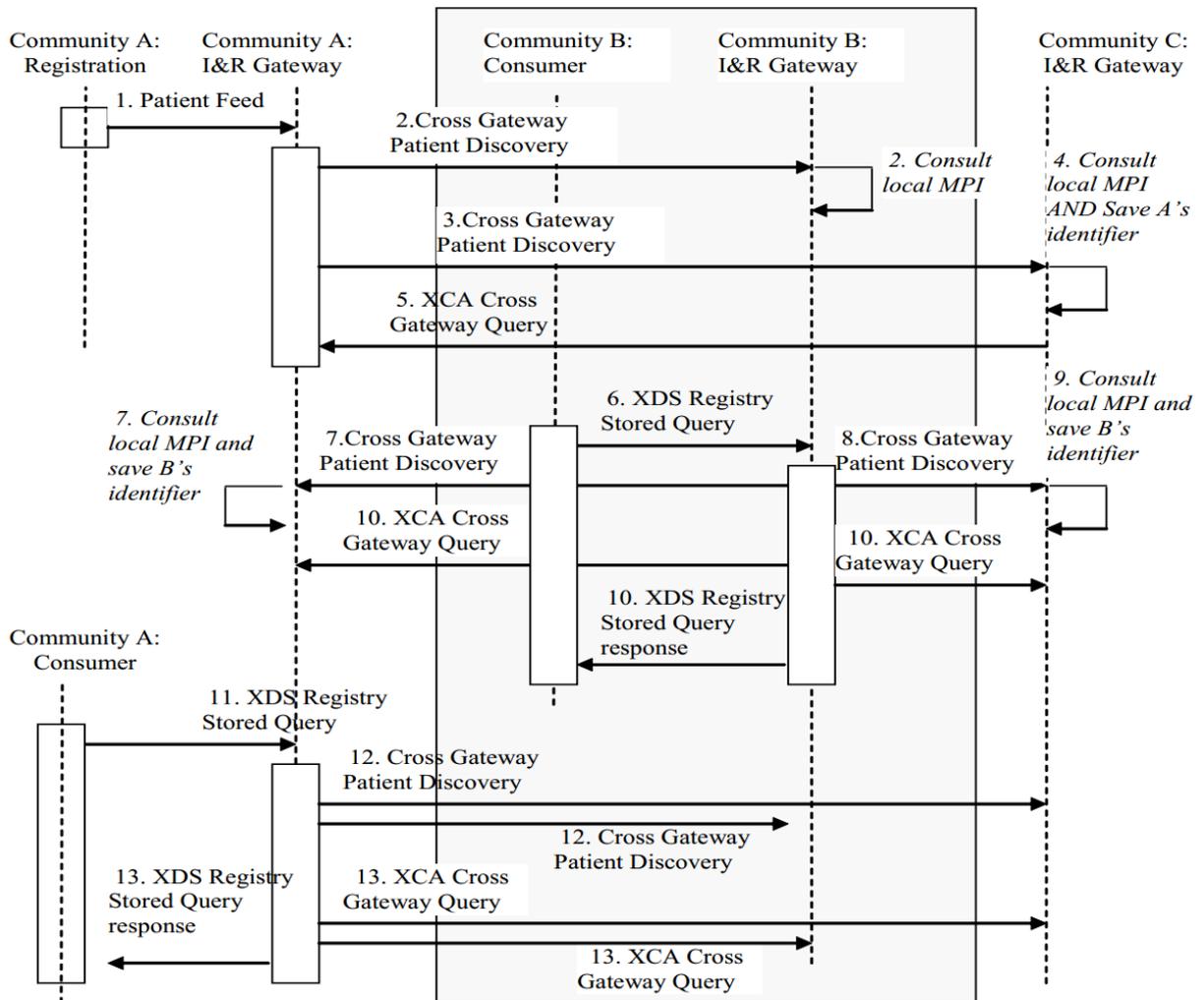


Figure 27.3.2.1-2: Detailed Interactions Health Data Locator (Informative)

290

- **[1] The patient registers within Community A and a Patient Feed is sent to the Gateway.**
- **[2] The Gateway uses the Cross Gateway Patient Discovery transaction to determine if this patient is known in community B. Community B consults with its local MPI and responds with no matches, indicating the patient is not known in community B.**
- **[3] The Gateway uses the Cross Gateway Patient Discovery transaction to determine if this patient is known in community C. Community C responds with one match including the patient identifier in C and the indication that community C is a Health Data Locator for this patient.**

295

- 300
- **[4] Community C consults with its local MPI and finds a match. It saves the association that the identifier designated on the Cross Gateway Patient Discovery transaction is community A’s identifier for this patient.**
 - **[5] Community A pre-loads locations for this patient by sending a Patient Location Query to community C which has identified itself as a Health Data Locator.**

305

 - **[6] This patient is seen, for the first time, within an organization in community B which subsequently requests data about this patient and sends an XDS Registry Stored Query to its local Gateway.**
 - **[7] The Gateway uses the Cross Gateway Patient Discovery transaction to determine if this patient is known in community A. Community A consults with its local MPI and responds with one match including the patient identifier in A.**

310

 - **[8] The Gateway uses the Cross Gateway Patient Discovery transaction to determine if this patient is known in community C. Community C responds with one match including the patient identifier in C and the indication that community C is a Health Data Locator for this patient.**

315

 - **[9] Community C consults with its local MPI and finds a match. It saves the association that the identifier designated on the Cross Gateway Patient Discovery transaction is community B’s identifier for this patient.**
 - **[10] The community B gateway sends a XCA Cross Gateway Query to both Community A and C because both responded positively to the Cross Gateway Patient Discovery transaction. Both responses are combined by the community B gateway and returned to the organization which originated the XDS Registry Stored Query in step [6].**

320

 - **[11] An organization in community A requests data about this patient and sends an XDS Registry Stored Query to its local Gateway.**

325

 - **[12] The Gateway has saved the locations retrieve from community C in step [5] but this query may happen days or weeks or years later. To get a fresh copy of the locations for this patient, community A’s gateway sends another Patient Location Query to C. By doing so it discovers that B also knows this patient.**

330

 - **[13] Community A sends an XCA Cross Gateway Query to both community B and C and combines the responses in order to respond to the XDS Registry Stored Query**

Add the following new definition to Appendix B

335 **Appendix B: Transaction Summary Definitions**

Patient Location Query – supports the ability to query for a list of communities which may have relevant health data about particular patients.

Volume 2b - Transactions

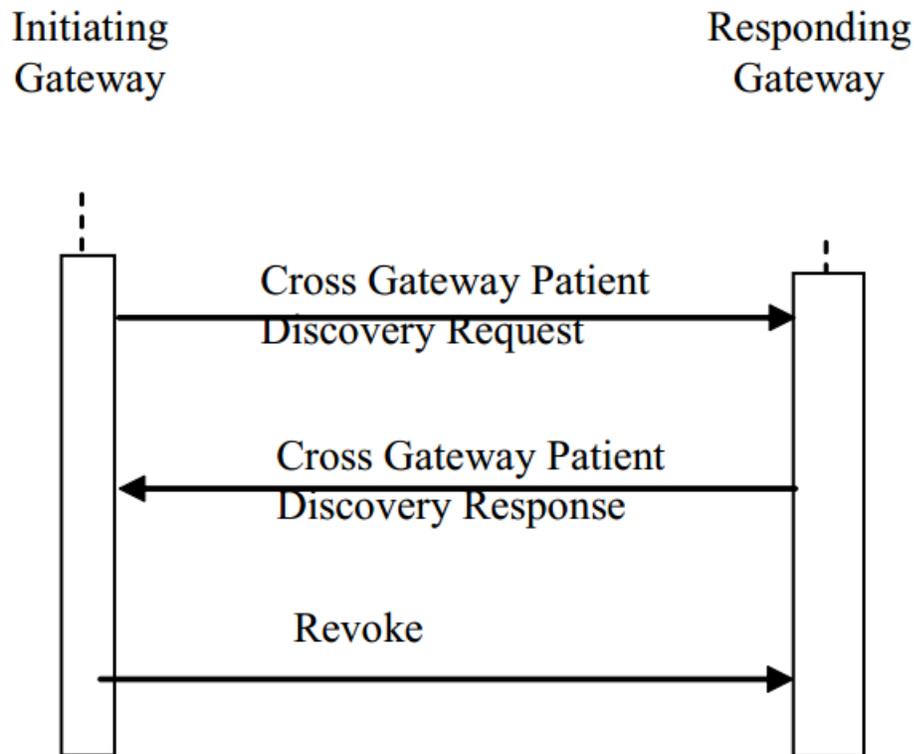
340 *Update Section 3.55.1 the second from last paragraph to add to the end of the paragraph as shown:*

345 In the case of a match, the Responding Gateway may further update its own cache to indicate that the initiating community knows this patient and should be queried if data for this patient is desired. **The Cross Gateway Patient Discovery transaction also supports the ability for Initiating Gateways to send a revoke message to Responding Gateways when prior patient identifier correlation may no longer be valid. The revoke message is used when Responding Gateways and Initiating Gateways may have cached the correlation identified as part of a Cross Gateway Patient Discovery transaction.**

350 The criteria used for demographic matching is defined by policy and not specified here, but fully enabled by the transaction.

Update the existing interaction diagram in Section 3.55.4 to add the Revoke message as shown:

355 **3.55.4 Interaction Diagram**



Update Section 3.55.4.1.2 the second to last paragraph to add a sentence to the end of it as shown:

- 360 The Initiating Gateway may specify a duration value in the SOAP Header element of the request. This value suggests to the Responding Gateway a length of time that the Initiating Gateway recommends caching any correlation resulting from the interaction. The duration value is specified in the SOAP Header using the CorrelationTimeToLive element and contains a value conformant with the xs:duration type defined in <http://www.w3.org/TR/xmlschema-2/#duration>.
- 365 If no CorrelationTimeToLive element is specified in the SOAP Header the Responding Gateway shall interpret this as a recommendation against caching, unless a mutually agreed policy states otherwise. **Mutually agreed policies may also be used to bind an Initiating Gateway to a specific timeframe for use of the Revoke message.**

370 *Update Section 3.55.4.1.2.4 the third paragraph to add a sentence to the end of the paragraph as shown:*

375 The Responding Gateway uses the homeCommunityId to obtain the Web Services endpoint of services that provide access to data in the Initiating Gateway’s community. **The Responding Gateway may also use the specified value as an entry in its response to a Patient Location Query transaction.**

Update Section 3.55.4.1.2.4 a middle paragraph to add a sentence to the end of the paragraph as shown:

380 The Responding Gateway may use the specified assigning authority to identify which of the LivingSubjectID values to use in a reverse Cross Gateway Query. **The Responding Gateway may also use the identified LivingSubjectID value as an entry in its response to a Patient Location Query transaction.**

385 *Update Section 3.55.4.1.3 the last paragraphs, adding text as shown and adding an additional paragraph to the end of the section.*

390 The community associated with the Responding Gateway may make use of the homeCommunityId and community patient identifier assigning authority by initiating a Cross Gateway Query **and/or saving the information for use in a Patient Location Query response.** See ITI TF-2b: 3.55.4.1.2.4 for more information. This provisioning of the Responding Gateway community may be cached indefinitely, but efforts are needed to ensure that changes are properly reflected. For more detail about this issue refer to ITI TF-2b: 3.55.4.2.3.1 **and the**

395 **Revoke Message.**

The Responding Gateway shall indicate in the response to the Cross Gateway Patient Discovery transaction whether it is acting as a Health Data Locator for this patient. See Section 3.55.4.2.2 for more information.

400 *Update Section 3.55.4.2.2 to the 2nd to last paragraph adding the sentence at the end.*

405 The Responding Gateway may specify a duration value in the SOAP Header element of the request. This value suggests to the Initiating Gateway a length of time that the Responding Gateway recommends caching any correlation resulting from the interaction. The duration value is specified in the SOAP Header using the CorrelationTimeToLive element and contains a value conformant with the xs:duration type defined in <http://www.w3.org/TR/xmlschema-2/#duration>. If no CorrelationTimeToLive element is specified in the SOAP Header the Initiating Gateway shall interpret this as a recommendation against caching, unless a mutually agreed policy states

410 otherwise. **Mutually agreed policies may also be used to bind a Responding Gateway to a specific timeframe for use of the Revoke message.**

<i>Update Section 3.55.4.2.2.5 as shown</i>

3.55.4.2.2.5 Specifying support as a Health Data Locator

415 The Responding Gateway shall specify its support for this patient as a Health Data Locator. This specification is a coded value within the assignedEntity of the custodian of the RegistrationEvent. The valid codes for this designation are described in Table 3.55.4.4.2-3. The codeSystem for these code elements is 1.3.6.1.4.1.19376.1.2.27.2.

420 **If the response contains multiple RegistrationEvent elements with different homeCommunityId values this indicates that there may be multiple Health Data Locators operating within the community. To access all locations for the patient the Initiating Gateway is encouraged to send multiple Patient Location Query transactions, one for each RegistrationEvent with a unique homeCommunityId and declaring SupportsHealthDataLocator.**

425 **Table 3.55.4.4.2-3: Coded values for codeSystem=1.3.6.1.4.1.19376.1.2.27.2**

Value for code	Meaning of code
NotHealthDataLocator	This community does not maintain externally available location information about this patient and will respond with no data to a Patient Location Query transaction related to this patient.
<u>SupportsHealthDataLocator</u>	<u>This community maintains location information about this patient and makes it available to other communities via the Patient Location Query transaction.</u>

<i>Add the identified text to the end of Case 1 in Section 3.55.4.2.3</i>

3.55.4.2.3 Expected Actions

430 **Case 1:** The Responding Gateway Actor finds exactly one patient record matching the criteria sent in the query parameters.

AA (application accept) is returned in Acknowledgement.typeCode (transmission wrapper).

OK (data found, no errors) is returned in QueryAck.queryResponseCode (control act wrapper)

435 One RegistrationEvent (and the associated Patient role, subject of that event) is returned from the patient information source for the patient record found. The community associated with the Initiating Gateway may use the patient demographics and identifiers to: a) run an independent matching algorithm to ensure the quality of the match b) use the designated patient identifier in a

440 Cross Gateway Query to get information about records related to the patient c) cache the correlation for future use (see ITI TF-2b: 3.55.4.2.3.1 for more information about caching) **d) use a Patient Location Query transaction to get a list of patient data locations.**

Add the identified text to the second paragraph of Section 3.55.4.2.3.1

445 Both the requesting and responding side of the Cross Gateway Patient Discovery transaction gain knowledge through this transaction. That knowledge may be used immediately, by sending a **Patient Location Query or** Cross Gateway Query transaction, or may be cached for use at some other time (or both). This section addresses caching considerations when the Cross Gateway Patient Discovery transaction is used in the Demographic Query and Feed mode. Other modes are a simplification of this mode with corresponding simplifications of the considerations presented.

Add the identified text to the this paragraph of Section 3.55.4.2.3.1

Local changes in demographics, merge/link

455 When a local change in demographics or a merge/link event affects the LocalPid, the community may initiate a Cross Gateway Patient Discovery request to validate the correlation **or use the Revoke message to remove any correlation previously identified.**

Add the identified text to the this paragraph of Section 3.55.4.2.3.1

External changes in demographics, merge/link

460 When an external change in demographics or merge/link event occurs, the external community may initiate a Cross Gateway Patient Discovery request which, when received, can be used to re-assess the correlation and adjust accordingly. **Alternately, the external community may initiate a Revoke. If the external community chooses not to initiate a Cross Gateway Patient Discovery request or Revoke the local community cannot know about changes.**

465 Mutually agreed policies for use of the CorrelationTimeToLive SOAP header may enable greater assurance that changes are reflected when needed.

Add the following Section 3.55.4.3

3.55.4.3 Revoke Message

470 The Revoke Message is implemented using the HL7 Patient Registry Record Nullified (PRPA_IN201303UV02) message.

3.55.4.3.1 Trigger Events

475 The initiating community has cached a correlation between a local patient identifier and an external patient identifier. A significant change has occurred related to the local identifier which suggests that the cached correlation may no longer be valid. The Initiating Gateway sends this message to notify the responding community that the previously identified correlation may no longer be valid.

3.55.4.3.2 Message Semantics

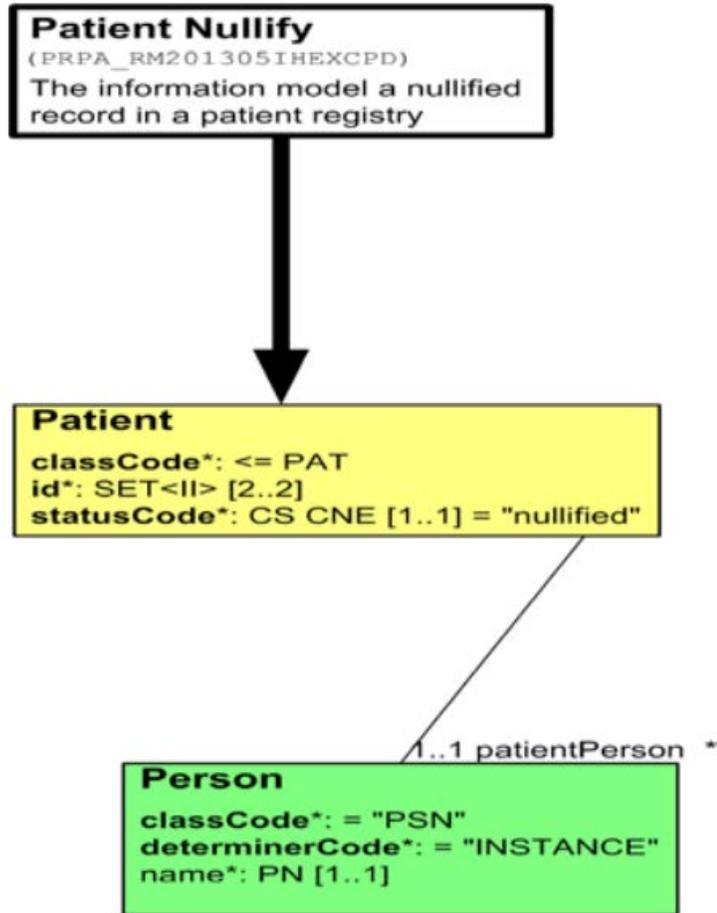
480 The Responding Gateway shall support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5, Synchronous and Asynchronous Web Services Exchange. If the Initiating Gateway declares the Asynchronous Web Services Exchange Option it shall also support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5. Use of Asynchronous Web Services Exchange is necessary when transactions scale to large numbers of communities because it allows for more efficient handling of latency and scale.

485 3.55.4.3.2.1 Message Information Model of the Patient Nullify Message

Below is the Message Information Model for the Patient Nullify message, as restricted for this transaction. The purpose of the model is to describe the data elements relevant for this transaction. It is a strict common subset of the Patient Nullify Message (PRPA_RM201305UV) RMIM.

490 The base RMIM can be found on the HL7 V3 2008 Edition CD at: Edition2008/domains/uvpa/editable/PRPA_RM201305UV.htm. The following restrictions were made on the original RMIMs to arrive at the restricted model:

- The focal entity choice is restricted to be only a person
- The Patient shall have exactly two patient identifiers
- 495 • Person.name shall be null and all other optional elements shall be omitted, i.e.,:
 - administrativeGender
 - birthTime
 - birthplace



500

Figure 3.55.4.3-1: Patient Nullify Message

The attributes of this model are described in the following table. Note that CMETs are not discussed, as the HL7 definitions for them are being used.

505

PRPA_HD201305IHE Patient Registry Record Nullified	This HMD extract defines the message used to ... Derived from Figure 3.55.4.3-1 (PRPA_RM201305IHEXCPD)
Patient	The primary record for the focal person
classCode [1..1] (M) Patient (CS) {CNE:PAT}	Structural attribute; this is a "patient" role
id [2..2] (M) Patient (SET<II>)	Shall contain two elements reflecting the correlation that is no longer valid. One of the identifiers is the patient identifier from the Initiating Gateway domain, the other is the identifier from the Responding Gateway domain.

statusCode [1..1] Patient (CS) {CNE:active, fixed value= "nullified"}	A value specifying the state of this record in a patient registry (based on the RIM role class state-machine). This record is nullified.
Person	A subtype of LivingSubject representing a human being Either Person.name or Patient.id must be non-null
classCode [1..1] (M) Person (CS) {CNE:PSN, fixed value= "PSN"}	Structural attribute; this is a "person" entity
determinerCode [1..1] (M) Person (CS) {CNE:INSTANCE, fixed value= "INSTANCE"}	Structural attribute; this is a specific person
name [1..1] Person (BAG<PN>)	Name(s) for this person Shall contain null i.e., <name nullFlavor="NA"/>

3.55.4.3.2.2 Control Act and Transmission Wrappers

510 Please see ITI TF-2x: Appendix O for details on the IHE guidelines for implementing the wrappers. Table 3.55.4.3-2 contains the Transmission and Control Act wrappers used for this interaction, and the associated constraints.

Table 3.55.4.3-2: Wrappers and Constraints

Transmission Wrapper	Trigger Event Control Act Wrapper
MCCI_MT000100UV01 – Send Message Payload	MFMI_MT700701UV01 – Master File / Registry Notification Control Act, Role Subject
The value of interactionId shall be set to PRPA_IN201303UV02 The value of processingModeCode shall be set to T The acceptAckCode shall be set to AL There shall be only one receiver Device	The trigger event code in ControlActProcess.code shall be set to PRPA_TE201303UV02 RegistrationEvent.statusCode shall be set to “active” There shall be no InReplacementOf act relationship for these interactions.

515 The composite message schemas which describe the full payload of these interactions, including the wrappers, can be found online on the IHE FTP site, see ITI TF-2x: Appendix W (the HL7 V3 2008 Normative Edition schemas are at: Edition2008/processable/multicacheschemas/PRPA_IN201303UV02.xsd).

3.55.4.3.3 Expected Actions

520 The Responding Gateway shall send an accept acknowledgement for any properly formatted Patient Nullify Message. The Responding Gateway may update its cached patient correlations and/or initiate a workflow to update the cache.

Update Section 3.55.5.1 as shown:

3.55.5.1 Security Audit Considerations

525 The Cross Gateway Patient Discovery Transaction is a Query Information event as defined in Table ITI TF-2a: 3.20.6-1.

There are no specific auditing requirements for the Revoke Message.

Add Section 3.56

530

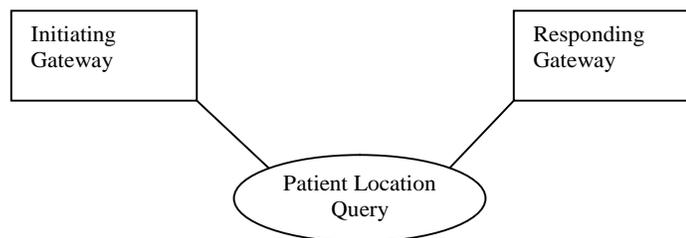
3.56 Patient Location Query

This section corresponds to Transaction 56 of the IHE Technical Framework. Transaction 56 is used by the Initiating Gateway and Responding Gateway actors.

3.56.1 Scope

535 The Patient Location Query transaction supports a query that retrieves a list of communities which may have healthcare data for a patient referenced by patient identifier.

3.56.2 Use Case Roles



540 **Actor: Initiating Gateway**

Role: Requests the Responding Gateway to provide patient data locations in the form of a list of community identifiers (homeCommunityId) that reference communities that may have healthcare records for the patient identifier specified in the request.

Actor: Responding Gateway

545 **Role:** Responds with a list of patient data locations.

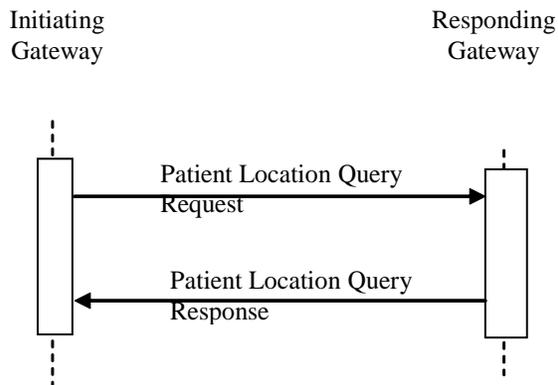
3.56.3 Referenced Standard

Implementers of this transaction shall comply with all requirements described in ITI TF-2x: Appendix V: Web Services for IHE Transactions.

HL7 V3 Datatypes 2008 Normative Edition

- 550 Appendix V ITI TF-2x: Appendix V: Web Services for IHE Transactions
Contains references to all Web Services standards and requirements of use

3.56.4 Interaction Diagram



3.56.4.1 Patient Location Query Request

- 555 This message carries a request for a list of communities which may have healthcare data about the identified patient.

3.56.4.1.1 Trigger Events

- 560 A new patient arrives at a medical provider and medical records for this patient are desired from outside the medical provider’s community. In cases of an existing patient, this transaction may be used to determine if there is new data available outside the community.

3.56.4.1.2 Message Semantics

- 565 The Patient Location Query request is a Web Service request complying with all requirements in ITI TF-2x: Appendix V: Web Services for IHE Transactions. The content of the message is a single <xcpd:PatientLocationQueryRequest/> element which contains a single <xcpd:RequestedPatientId/> element. The <xcpd:RequestedPatientId/> contains the patient identifier which shall be coded consistent with the HL7 V3 II Data Type.

- 570 The Responding Gateway shall support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5 Synchronous and Asynchronous Web Services Exchange. If the Initiating Gateway declares the Asynchronous Web Services Exchange Option it shall also support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5. Use of Asynchronous

Web Services Exchange is necessary when transactions scale to large numbers of communities because it allows for more efficient handling of latency and scale.

575 The Initiating Gateway has acquired the correct patient identifier to use in this transaction through some other interactions outside the scope of this transaction. One approach is to use the Cross Gateway Patient Discovery transaction, which returns the identifier associated with a set of demographics.

An example of the Patient Location Query request:

```
580 <xcpd:PatientLocationQueryRequest xmlns:xcpd="urn:ihe:iti:xcpd:2009
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xsi:schemaLocation="urn:ihe:iti:xcpd:2009">
      <xcpd:RequestedPatientId
        root="1.2.840.114350.1.13.99997.2.3412" extension="38273N237"/>
    </xcpd:PatientLocationQueryRequest>
```

3.56.4.1.2.1 Web Services Transport

585 See ITI TF-2b: 3.56.6 below.

3.56.4.1.2.2 Example request message

A complete example of the request message is:

```
590 <s:Envelope xmlns:s="http://www.w3.org/2003/05/soap-envelope"
      xmlns:a="http://www.w3.org/2005/08/addressing">
      <s:Header>
      <a:Action s:mustUnderstand="1">urn:ihe:iti:2009:PatientLocationQuery</a:Action>
      <a:MessageID>urn:uuid:a02ca8cd-86fa-4afc-a27c-16c183b2055</a:MessageID>
      <a:ReplyTo>
      595   <a:Address>http://www.w3.org/2005/08/addressing/anonymous</a:Address>
      </a:ReplyTo>
      <a:To s:mustUnderstand="1">http://localhost:2647/Service/IHERespondingGateway.svc</a:To>
      </s:Header>
      <s:Body>
      600 <xcpd:PatientLocationQueryRequest xmlns:xcpd="urn:ihe:iti:xcpd:2009
        xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
        xsi:schemaLocation="urn:ihe:iti:xcpd:2009">
        <xcpd:RequestedPatientId
          root="1.2.840.114350.1.13.99997.2.3412" extension="38273N237"/>
      605 </xcpd:PatientLocationQueryRequest>
      </s:Body>
    </s:Envelope>
```

3.56.4.1.3 Expected Actions

610 The Responding Gateway shall respond with the Patient Location Query Response Message indicating the data it has related to the specified patient identifier.

The Responding Gateway shall use the SOAP Faults defined in Table 3.56-1 when appropriate. Initiating Gateways shall be capable of accepting other values beyond the ones specified here.

615

Table 3.56-1: SOAP Faults

Description of error	Code	Reason Text
The Responding Gateway is too busy to respond to the request	Receiver	Busy
The Responding Gateway resources are too low to respond to the request	Receiver	Resources Low
The Responding Gateway is not a Health Data Locator for the patient specified in the request.	Sender	Not a Health Data Locator for the specified patient identifier

An example of a SOAP Fault is:

620

```

<env:Envelope xmlns:env="http://www.w3.org/2003/05/soap-envelope"
              xmlns:xml="http://www.w3.org/XML/1998/namespace">
  <env:Body>
    <env:Fault>
      <env:Code>
        <env:Value>env:Receiver</env:Value>
      </env:Code>
      <env:Reason>
        <env:Text xml:lang="en">Busy</env:Text>
      </env:Reason>
    </env:Fault>
  </env:Body>
</env:Envelope>
    
```

625

630

3.56.4.2 Patient Location Query Response

635 This message carries a response to a request for a list of communities which may have healthcare data about the identified patient.

3.56.4.2.1 Trigger Events

A Patient Location Query Request Message is received.

3.56.4.2.2 Message Semantics

640 The Patient Location Query response is a Web Services response complying with all requirements in ITI TF-2x: Appendix V: Web Services for IHE Transactions.

The Responding Gateway shall support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5 Synchronous and Asynchronous Web Services Exchange. If the Initiating

645 Gateway declares the Asynchronous Web Services Exchange Option it shall also support Asynchronous Web Services Exchange as described in ITI TF-2x: V.5. Use of Asynchronous Web Services Exchange is necessary when transactions scale to large numbers of communities because it allows for more efficient handling of latency and scale.

The Responding Gateway has acquired the data returned in this transaction through some other interactions outside the scope of this transaction. One approach is to use the Cross Gateway Patient Discovery transaction.

650 The content of the message is a single <ihe:PatientLocationQueryResponse/> element which is defined as:

- An optional sequence of <xcpd:PatientLocationResponse/> elements which contain:
 - 655 • A required <xcpd:HomeCommunityId/> element. The value of this element shall be the identifier of a community which might have data about the patient identified in the request. Shall be coded consistent with the anyURI Data Type.
 - A required <xcpd:CorrespondingPatientId/> element that contains the patient identifier that the requested patient is known by within the community identified by the ihe:HomeCommunityId element. Shall be coded consistent with the HL7 V3 II Data Type.
 - 660 • A required <xcpd:RequestedPatientId/> that is the same identifier specified in the query request. Shall be coded consistent with the HL7 V3 II Data Type

665 The <xcpd:PatientLocationResponse> element in the schema may have additional sub-elements defined by national committees. Initiating Gateways shall accept extra sub-elements and may ignore them. National committees are responsible for providing an extended schema if the schema is extended. The schema shall not be extended outside of IHE national/regional committees.

If the Responding Gateway is not managing patient data locations for the identified patient, or does not know the patient identifier, it shall respond with a SOAP Fault see ITI TF-2b: 3.56.4.1.3.

670 **3.56.4.2.2.2 Web Services Transport**

See ITI TF-2b: 3.56.6.

3.56.4.2.2.3 Example response message

A complete example of the response message is:

675

```
<xcpd:PatientLocationQueryResponse
  xmlns:xcpd="urn:ihe:iti:xcpd:2009"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="urn:ihe:iti:xcpd:2009">
  <xcpd:PatientLocationResponse>
    <xcpd:HomeCommunityId>urn:oid:1.2.333495.30291</xcpd:HomeCommunityId>
```

```
680 <xcpd:CorrespondingPatientId
      root="1.2.840.114350.1.13.99997.12" extension="38273N237"/>
      <xcpd:RequestedPatientId
685      root="1.2.840.114350.1.13.99997.2.3412"
        extension="38273N237"/>
      </xcpd:PatientLocationResponse>
      <xcpd:PatientLocationResponse>
        <xcpd:HomeCommunityId>urn:oid:555.324.1.2.3</xcpd:HomeCommunityId>
        <xcpd:CorrespondingPatientId
690      root="555.324.1.2.3.12" extension="7382931"/>
        <xcpd:RequestedPatientId
          root="1.2.840.114350.1.13.99997.2.3412" extension="38273N237"/>
        </xcpd:PatientLocationResponse>
      </xcpd:PatientLocationQueryResponse>
```

695 3.56.4.2.3 Expected Actions

The Initiating Gateway may use the list of communities to send a XCA Cross Gateway Query transaction to each, using the value of the CorrespondingPatientId, to find all data about the patient. The Initiating Gateway may also cache the information, maintaining its cache through repeated polling of the original responder, or through receipt of subsequent Cross Gateway Patient Discovery transactions. Support for subscription to updates to the list is not profiled by IHE.

3.56.5 Security Considerations

3.56.5.1 Security Audit Considerations

705 The Patient Location Query Transaction is a Query Information event as defined in ITI TF-2a: Table 3.20.6-1. The Actors involved shall record audit events according to the following:

710

3.56.5.1.1 Initiating Gateway audit message:

	Field Name	Opt	Value Constraints
Event AuditMessage/ EventIdentification	EventID	M	EV(110112, DCM, "Query")
	EventActionCode	M	"E" (Execute)
	EventDateTime	M	not specialized
	EventOutcomeIndicator	M	not specialized
	EventTypeCode	M	EV("ITI-56", "IHE Transactions", "Patient Location Query")
Source (Initiating Gateway) (1)			
Human Requestor (0..n)			
Destination (Responding Gateway) (1)			
Audit Source (Initiating Gateway) (1)			
Patient (1..n)			
Query Parameters(1)			

715 Where:

Source AuditMessage/ ActiveParticipant	UserID	C	When WS-Addressing is used: value of <ReplyTo/> element
	AlternativeUserID	M	the process ID as used within the local operating system in the local system logs.
	UserName	U	not specialized
	UserIsRequestor	U	not specialized
	RoleIDCode	M	EV(110153, DCM, "Source")
	NetworkAccessPointTypeCode	M	"1" for machine (DNS) name, "2" for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

Human Requestor (if known) AuditMessage/ ActiveParticipant	UserID	M	Identity of the human that initiated the transaction.
	AlternativeUserID	U	not specialized
	UserName	U	not specialized
	UserIsRequestor	U	not specialized
	RoleIDCode	U	Access Control role(s) the user holds that allows this transaction.
	NetworkAccessPointTypeCode	U	not specialized
	NetworkAccessPointID	U	not specialized

Destination AuditMessage/ ActiveParticipant	UserID	M	SOAP endpoint URI.
	AlternativeUserID	U	not specialized
	UserName	U	not specialized
	UserIsRequestor	M	"false"
	RoleIDCode	M	EV(110152, DCM, "Destination")
	NetworkAccessPointTypeCode	M	"1" for machine (DNS) name, "2" for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

IHE IT Infrastructure Technical Framework Supplement – XCPD Health Data Locator Query & Revoke Option

720

Audit Source AuditMessage/ AuditSourceIdentification	<i>AuditSourceID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditEnterpriseSiteID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditSourceTypeCode</i>	<i>U</i>	<i>not specialized</i>

Patient AuditMessage/ ParticipantObjectIdentification	<i>ParticipantObjectTypeCode</i>	M	“1” (Person)
	<i>ParticipantObjectTypeCodeRole</i>	M	“1” (Patient)
	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectIDTypeCode</i>	M	EV(2, RFC-3881, “Patient Number”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectID</i>	M	The patient ID in HL7 CX format.
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	<i>U</i>	<i>not specialized</i>
<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>	

Query Parameters AuditMessage/ ParticipantObjectIdentification	<i>ParticipantObjectTypeCode</i>	M	“2” (system object)
	<i>ParticipantObjectTypeCodeRole</i>	M	“24” (query)
	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectIDTypeCode</i>	M	EV(“ITI-56”, “IHE Transactions”, “Patient Location Query”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectID</i>	M	“PatientLocationQueryRequest”
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	M	the PatientLocationQueryRequest, base64 encoded.
	<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>

3.56.5.1.2 Responding Gateway audit message:

	Field Name	Opt	Value Constraints
Event AuditMessage/ EventIdentification	EventID	M	EV(110112, DCM, “Query”)
	EventActionCode	M	“E” (Execute)
	<i>EventDateTime</i>	<i>M</i>	<i>not specialized</i>
	<i>EventOutcomeIndicator</i>	<i>M</i>	<i>not specialized</i>
	EventTypeCode	M	EV(“ITI-56”, “IHE Transactions”, “Patient Location Query”)
Source (Initiating Gateway) (1)			
Destination (Responding Gateway) (1)			
Audit Source (Initiating Gateway) (1)			
Patient (0..n)			
Query Parameters(1)			

725

IHE IT Infrastructure Technical Framework Supplement – XCPD Health Data Locator Query & Revoke Option

Where:

Source AuditMessage/ ActiveParticipant	UserID	C	When WS-Addressing is used: value of <ReplyTo/> element
	AlternativeUserID	U	not specialized
	UserName	U	not specialized
	UserIsRequestor	U	not specialized
	RoleIDCode	M	EV(110153, DCM, "Source")
	NetworkAccessPointTypeCode	M	"1" for machine (DNS) name, "2" for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

Destination AuditMessage/ ActiveParticipant	UserID	M	SOAP endpoint URI.
	AlternativeUserID	M	the process ID as used within the local operating system in the local system logs.
	UserName	U	not specialized
	UserIsRequestor	M	"false"
	RoleIDCode	M	EV(110152, DCM, "Destination")
	NetworkAccessPointTypeCode	M	"1" for machine (DNS) name, "2" for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

Audit Source AuditMessage/ AuditSourceIdentification	AuditSourceID	U	not specialized
	AuditEnterpriseSiteID	U	not specialized
	AuditSourceTypeCode	U	not specialized

730

Patient AuditMessage/ ParticipantObjectIdentification	ParticipantObjectTypeCode	M	"1" (Person)
	ParticipantObjectTypeCodeRole	M	"1" (Patient)
	ParticipantObjectDataLifeCycle	U	not specialized
	ParticipantObjectTypeCode	M	EV(2, RFC-3881, "Patient Number")
	ParticipantObjectSensitivity	U	not specialized
	ParticipantObjectID	M	The patient ID in HL7 CX format.
	ParticipantObjectName	U	not specialized
	ParticipantObjectQuery	U	not specialized
	ParticipantObjectDetail	U	not specialized

Query Parameters AuditMessage/ ParticipantObjectIdentification	ParticipantObjectTypeCode	M	"2" (system object)
	ParticipantObjectTypeCodeRole	M	"24" (query)
	ParticipantObjectDataLifeCycle	U	not specialized
	ParticipantObjectTypeCode	M	EV("ITI-56", "IHE Transactions", "Patient Location Query")
	ParticipantObjectSensitivity	U	not specialized
	ParticipantObjectID	M	"PatientLocationQueryRequest"
	ParticipantObjectName	U	not specialized
	ParticipantObjectQuery	M	The PatientLocationQueryRequest, base64 encoded.
	ParticipantObjectDetail	U	not specialized

The Patient Location Query transaction does not require auditing of the returned result because the result contains only opaque identifiers. Implementers are free to audit more extensively if it is desired.

735 **3.56.6 Protocol Requirements**

The Patient Location Query request and response will be transmitted using Web Services, according to the requirements specified in ITI TF-2x: Appendix V. The specific values for the WSDL describing the Patient Location Query transaction are described in this section.

740 The Responding Gateway Actor shall accept a Patient Location Query Request formatted as a SIMPLE SOAP message and respond with a Patient Location Query Response formatted as a SIMPLE SOAP message. The Initiating Gateway Actor shall generate the Patient Location Query Request formatted as a SIMPLE SOAP message and accept a Patient Location Query Response formatted as a SIMPLE SOAP message.

IHE-WSP201) The attribute /wsdl:definitions/@name shall be “RespondingGateway”.

745 The following WSDL naming conventions shall apply:

```
wsdl:definitions/@name="RespondingGateway":
query message -> "PatientLocationQuery_Message"
query response -> "PatientLocationQueryResponse_Message"
portType -> "RespondingGateway_PortType"
750 operation -> "PatientLocationQuery"
SOAP 1.2 binding -> "RespondingGateway_Binding_Soap12"
SOAP 1.2 port -> "RespondingGateway_Port_Soap12"
```

IHE-WSP202) The targetNamespace of the WSDL shall be “urn:ihe:iti:xcpd:2009”

755 These are the requirements for the Patient Location Query transaction presented in the order in which they would appear in the WSDL definition:

- The following types shall be imported (xsd:import) in the /definitions/types section:
 - namespace="urn:ihe:iti:xcpd:2009"
 - The /definitions/message/part/@element attribute of the Patient Location Query Request message shall be defined as “xcpd: PatientLocationQueryRequest”
 - The /definitions/message/part/@element attribute of the Patient Location Query Response message shall be defined as “xcpd: PatientLocationQueryResponse”
- 760

- 765 • The /definitions/portType/operation/input/@wsaw:Action attribute for the Patient Location QueryRequest message shall be defined as “urn:ihe:iti:2009:PatientLocationQuery”
- The /definitions/portType/operation/output/@wsaw:Action attribute for the Patient Location Query Response message shall be defined as “urn:ihe:iti:2009:PatientLocationQueryResponse”
- 770 • The /definitions/binding/operation/soap12:operation/@soapAction attribute should be defined as “urn:ihe:iti:2009:PatientLocationQuery”

A full WSDL for the Initiating and Responding Gateway actors is found in ITI TF-2x: Appendix W.