

Integrating the Healthcare Enterprise



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**IHE Endoscopy
Technical Framework Supplement**

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**Endoscopy Report and Pathology Order
(ERPO)**

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Rev. 1.2 – Trial Implementation

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Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.

Foreword

30 This is a supplement to the forthcoming IHE Endoscopy Technical Framework. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on November 28, 2018 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the forthcoming
35 Endoscopy Technical Framework. Comments are invited and may be submitted at https://www.ihe.net/Endoscopy_Public_Comments.

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

40

<i>Amend Section X.X by the following:</i>
--

Where the amendment adds text, make the added text **bold underline**. Where the amendment removes text, make the removed text **~~bold strikethrough~~**. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

45

General information about IHE can be found at www.ihe.net.

Information about the IHE Endoscopy domain can be found at http://www.ihe.net/IHE_Domains.

50 Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at http://www.ihe.net/IHE_Process and <http://www.ihe.net/Profiles>.

The current version of the IHE Endoscopy Technical Framework can be found at http://www.ihe.net/Technical_Frameworks.

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Introduction to this Supplement

- 120 The Endoscopy Report and Pathology Order Profile defines specific implementations of established standards to achieve integration goals for endoscopy. Such integration promotes appropriate sharing of medical information to support optimal patient care.

The IHE Endoscopy Integration Profiles rely heavily on, and reference, the transactions defined in those other IHE Technical Framework documents.

125 **Open Issues and Questions**

None

Closed Issues

None

General Introduction

130 *Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.*

Appendix A – Actor Summary Definitions

Add the following new actors to the IHE Technical Frameworks General Introduction list of Actors:

135

Actor	Definition
Report Creator	The actor that creates endoscopy observation report and send it to Order Placer.

Appendix B – Transaction Summary Definitions

Add the following new transactions to the IHE Technical Frameworks General Introduction list of Transactions:

Transaction	Definition
Notify Observation Report [ENDO-3]	The transaction that provides endoscopy observation report.
Notify Endoscopy Status [ENDO-6]	The transaction that notifies the completion of the endoscopy observation report.

140 Appendix D – Glossary

Add the following new glossary terms to the IHE Technical Frameworks General Introduction Glossary:

None

Volume 1 – Profiles

145 **Copyright Licenses**

Add the following to the IHE Technical Frameworks General Introduction Copyright section:

This section is not applicable.

Domain-specific additions

This section is not applicable.

150

Add new Section X

X Endoscopy Report and Pathology Order (ERPO) Profile

155 The Endoscopy Report and Pathology Order workflow specifies a series of workflows where endoscopy is conducted on the order from the hospital information system located outside of the endoscopy department and the endoscopy report returned to the system.

160 The Order Filler (OF) receives an order from the Order Placer (OP) to administer an endoscopy. This process is defined as EWF. When the endoscopy procedure is over, the OF notifies the OP located outside of the endoscopy department of the endoscopy observation report to create a pathology order as necessary.

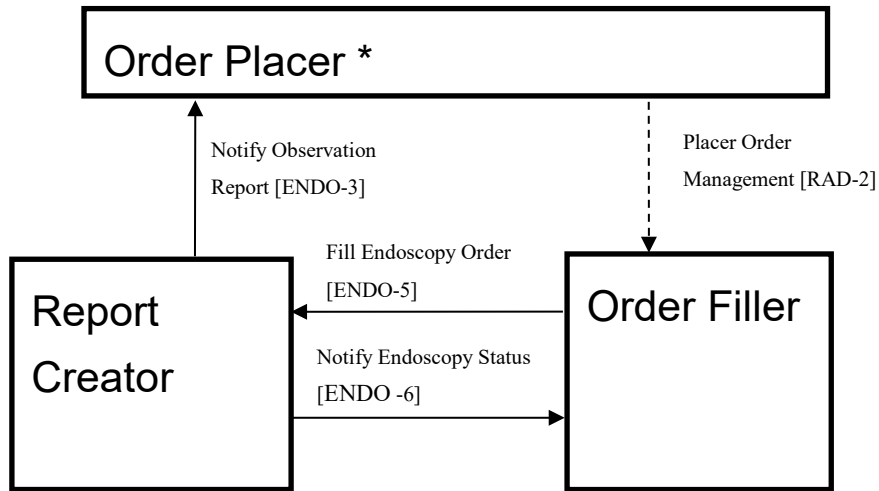
We also considered returning status of “Endoscopy report creation was done” to OP independently from the status of Endoscopy report by “Notify Observation Report” transaction.

165 The end period of the Endoscopy examination is considered when both of Observation Report Notification in this profile and Performed Procedure Information Notification in EWF Profile are completed.

X.1 ERPO Actors, Transactions, and Content Modules

This section defines the actors, transactions, and/or content modules in this profile. General definitions of actors are given in the Technical Frameworks General Introduction Appendix A at https://www.ihe.net/resources/technical_frameworks/#GenIntro.

170 Figure X.1-1 shows the actors directly involved in the ERPO Profile and the relevant transactions between them. If needed for context, other actors that may be indirectly involved due to their participation in other related profiles are shown in dotted lines. Actors which have a mandatory grouping are shown in conjoined boxes.



175

Figure X.1-1: ERPO Actor Diagram

Note: The Order Placer in this profile has a function to analyze the endoscopy observation report to know if pathology order is necessary. It also takes necessary information from the endoscopy observation report to make a pathology order and places a pathology order.

180

Table X.1-1 lists the transactions for each actor directly involved in the ERPO Profile. To claim compliance with this profile, an actor shall support all required transactions (labeled “R”) and may support the optional transactions (labeled “O”).

Table X.1-1: ERPO Profile - Actors and Transactions

Actors	Transactions	Optionality	Section
Order Filler	Fill Endoscopy Order [ENDO-5]	C	ENDO TF-2: 3.5
	Notify Endoscopy Status [ENDO-6]	C	ENDO TF-2: 3.6
Order Placer	Notify Observation Report [ENDO-3]	R	ENDO TF-2: 3.3
Report Creator	Notify Observation Report [ENDO -3]	R	ENDO TF-2: 3.3
	Fill Endoscopy Order [ENDO-5]	C	ENDO TF-2: 3.5
	Notify Endoscopy Status [ENDO-6]	C	ENDO TF-2: 3.6

185

Note: In the table above, the transactions labeled “R” are required. The transactions labeled “C” are conditionally required with the condition of second stage implementation as described in Appendix A.

X.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in Transactions (Volume 2) and Content Modules (Volume 3). This section documents any additional requirements on profile’s actors.

190 X.2 ERPO Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table X.2-1. Dependencies between options when applicable are specified in notes.

Table X.2-1: ERPO - Actors and Options

Actor	Option Name	Reference
Order Placer	No options defined	--
Order Filler	No options defined	--
Report Creator	No options defined	--

195 X.3 ERPO Required Actor Groupings

An actor from this profile (column 1) shall implement all of the required transactions and/or content modules in this profile *in addition to* all of the transactions required for the grouped actor (column 2).

200 If this is a content profile, and actors from this profile are grouped with actors from a workflow or transport profile, the Content Bindings reference column references any specifications for mapping data from the content module into data elements from the workflow or transport transactions.

205 In some cases, required groupings are defined as at least one of an enumerated set of possible actors; this is designated by merging column one into a single cell spanning multiple potential grouped actors. Notes are used to highlight this situation.

Section X.5 describes some optional groupings that may be of interest for security considerations and Section X.6 describes some optional groupings in other related profiles.

Table X.3-1: ERPO - Required Actor Groupings

ERPO Actor	Actor to be grouped with	Reference	Content Bindings Reference
Order Placer	None	--	--
Order Filler	None	--	--
Report Creator	None	--	--

X.4 ERPO Overview

210 **X.4.1 Concepts**

This section is not applicable.

X.4.2 Use Cases

X.4.2.1 Use Case #1: Endoscopy basic report workflow

215 The use case represents the basic report workflow. It includes the information process of endoscopy order, clinical observation report notification and actors' status information.

X.4.2.1.1 Endoscopy Basic Workflow Use Case Description

220 The following workflow shows the process of an endoscopy examination that includes ordering, endoscopy procedure and finally submits observation report. Endoscopy is scheduled on the order that Order Placer generates and the information that is required to make a pathology order is sent from Report Creator to Order Placer on the completion of the observation report. Upon the completion of the observation report, the Report Creator provides the observation report to the Order Placer. Then the Order Filler identifies the Exam End when it receives the task completion notification from the Report Creator.

X.4.2.1.2 Endoscopy Basic Report Workflow Process Flow

225

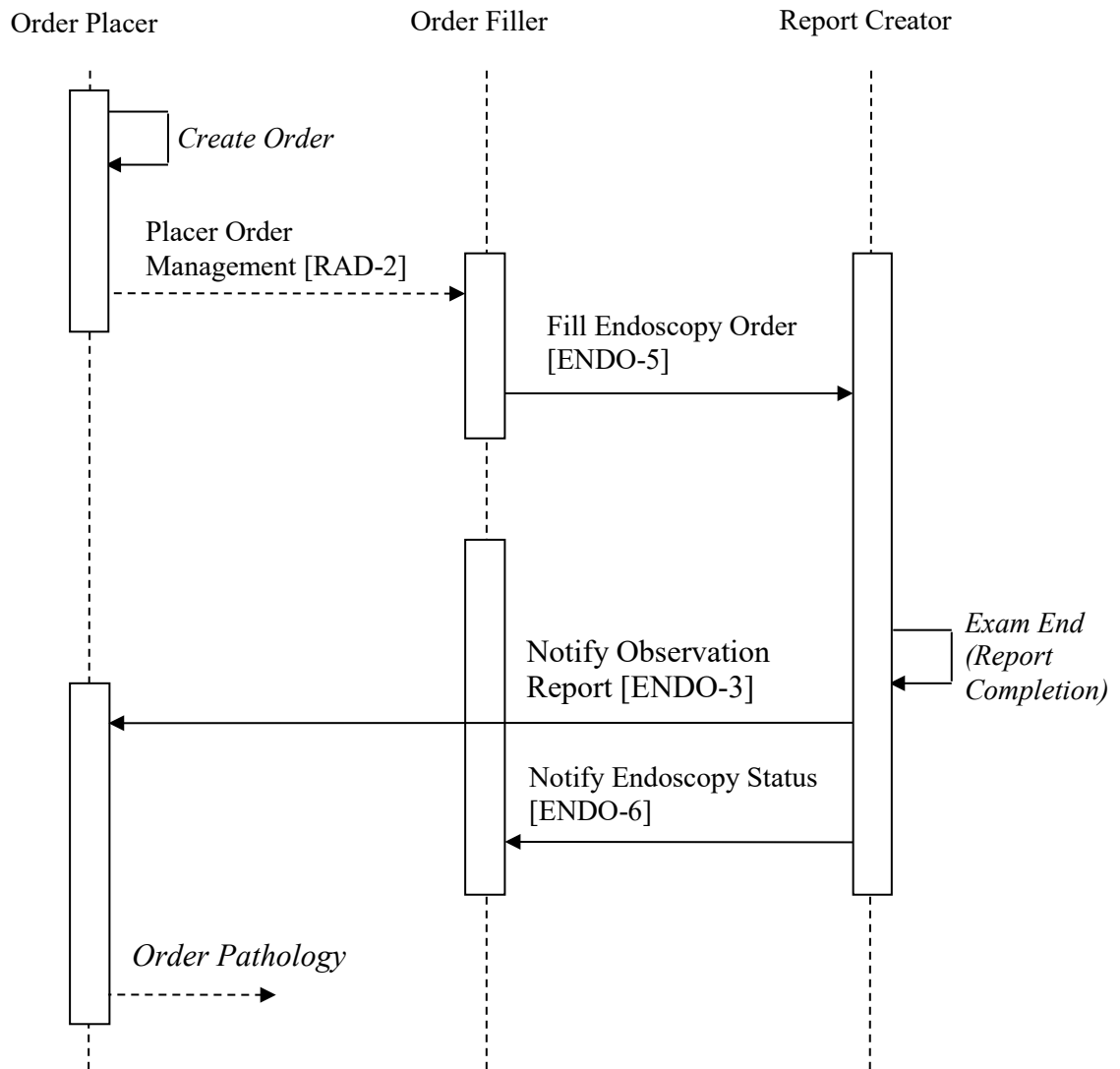


Figure X.4.2.1.2-1: Basic Process Flow in ERPO Profile

X.5 ERPO Security Considerations

230 The security considerations for a content module are dependent upon the security provisions defined by the grouped actor(s).

X.6 ERPO Cross Profile Considerations

EWf- Endoscopy Ordering Workflow

Order Placer and Order Filler in Endoscopy Ordering Workflow have to be grouped with an Order Placer and an Order Filler in order to manage ordering information.

235 **PAM – Patient Administration Management**

Patient Demographics Consumer and Patient Encounter Consumer in Patient Administration Management could be grouped with an Order Placer and an Order Filler in order to manage patient demographics.

PDQ – Patient Demographics Query

240 Patient Demographics Consumer and Patient Encounter Consumer in Patient Demographics Query could be grouped with an Order Placer and an Order Filler in order to manage patient demographics.

CT – Consistent Time

245 Time Client in Consistent Time could be grouped with an Order Placer, an Order Filler and Report Creator in order to synchronize the entire system.

Appendices

Appendix A – The relationship between the Implementation Roadmap and the Integration Profile of IHE Endoscopy

250

The integration profile based on the originally-defined Endoscopy Workflow included every necessary process in one integration profile. Ultimately, the goal of the current integration profile is the same as that of the original profile.

255

However, since there are cases where the implementation progresses in steps the profile needed to reflect these progressive aspects as well as implementation of the system as a whole. The order of priority was difficult to determine when the integration profile was defined as one large profile covering entire workflow and when each of the component transactions were regarded as being required.

260

Therefore, we have decided to present a Implementation Roadmap, in which the integration profile was divided. The Implementation Roadmap is a three-stage process as shown below.

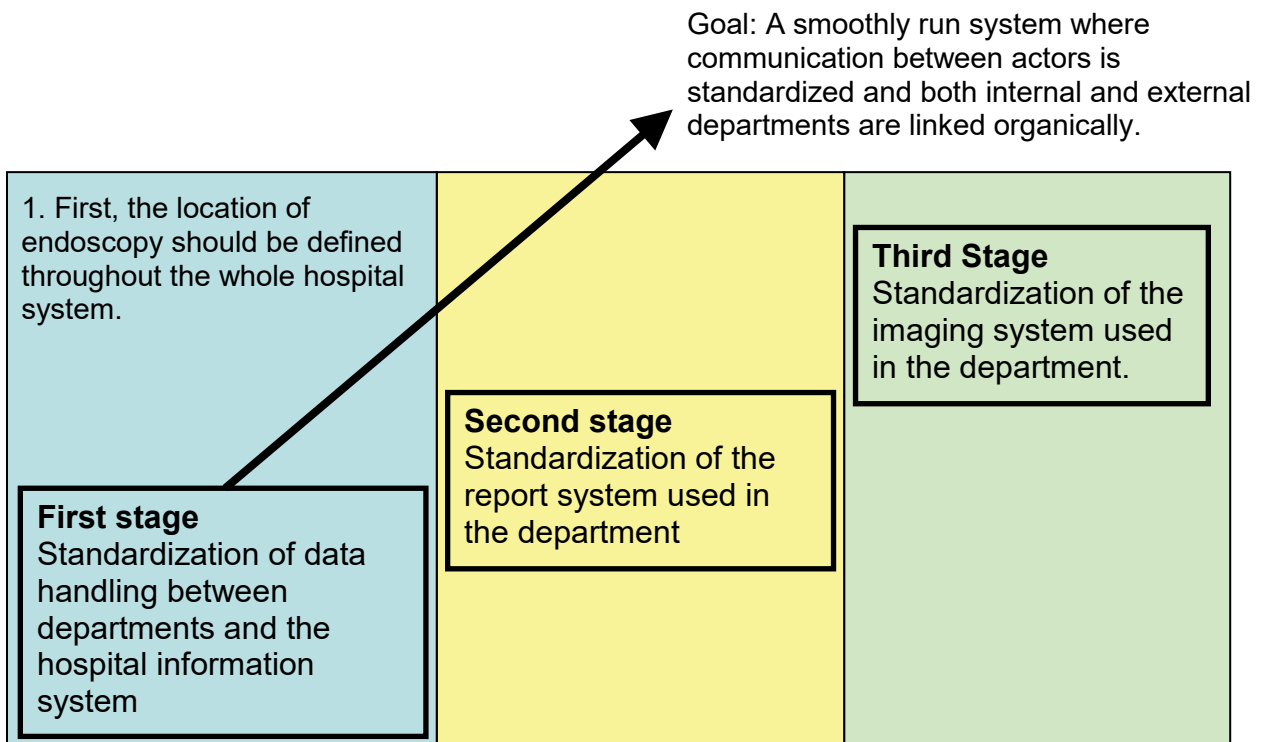


Figure A-1: Implementation Roadmap

265 In the first stage, the workflow focusing on data handling between department system and the
hospital information system was defined. In order for a smooth and manageable introduction of
the IT system throughout the whole hospital, priority should be given to general data handling,
such as how orders are treated and how information from departments is received, rather than
270 focusing on department specific requirement. The required transactions defined in the Endoscopy
Workflow (EWF) Profile represent this stage.

In the second stage, the workflow focusing on the data handling of procedure reports within
departments was defined. An endoscopy is completed when the report is filled in. Considering
the total flow comprising the whole endoscopy procedure, the report workflow is, after the
workflow between departments and the hospital information system, most important. The
275 Endoscopy Report and Pathology Order (ERPO) Profile represents this stage where those
transactions are defined as conditional.

The endoscopy examination includes steps where an order is received, the procedure carried out
and an observation report generated. These steps are considered as a workflow since once a
reporting procedure has been completed, the workflow is considered as completed. However, the
280 reporting part is defined as conditional because the report is considered as the second stage in the
above Implementation Roadmap.

Finally, the third stage defines a workflow focusing on the image information communication
which is acquired during the endoscopic procedure. The Endoscopy Image Archiving (EIA)
Profile is made up of the transactions which correspond to this stage.

285

Volume 2 – Transactions

Add Section 3.3

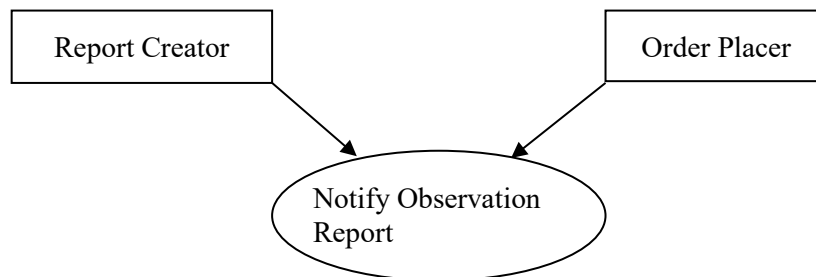
3.3 Notify Observation Report [ENDO-3]

290 During endoscopy examination, when physician performs biopsy, pathology order should be necessary. This transaction specifies a procedure to send an endoscopy report (including all necessary information for the pathology order) from the department system to the hospital information system (HIS), to trigger the provision of information for the HIS to perform the pathology order.

3.3.1 Scope

295 This transaction used by the Report Creator provides the observation report to the Pathology Order Trigger Receiver. (Pathology Order Trigger Receiver is expected to analyze the report to find if pathology order is necessary and notify the result to the order placer. However the expectation is out of scope of this document.)

3.3.2 Actor Roles



300

Figure 3.3.2-1: Use Case Diagram

Table 3.3.2-1: Actor Roles

Actor:	Report Creator
Role:	Provide the observation report to the Order Placer.
Actor:	Order Placer
Role:	Receive the observation report that Report Creator issued, analyze it so that Order Placer places relating order (e.g., pathology order).

Transaction text specifies behavior for each role. The behavior of specific actors may also be specified when it goes beyond that of the general role.

305 **3.3.3 Referenced Standards**

HL7^{®1} Ver2.5 Chapter 9.5.2

3.3.4 Interaction Diagram

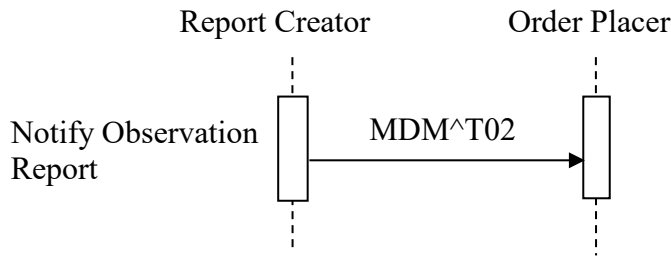


Figure 3.3.4-1: Use Case Diagram

310 Note: Simple acknowledgment messages are omitted from the diagrams for brevity.

3.3.4.1 MDM/ACK^T02 Original Documentation Notification

The original documentation notification message (MDM) is used for providing the observation report. The segment and syntax rules in this case are as follows.

315 **3.3.4.1.1 Trigger Events**

T02: Original documentation notification

3.3.4.1.2 Message Semantics

MDM^T02^MDM_T02	Original Document Notification & Content	Status	Chapter
MSH	Message Header		2
EVN	Event Type	B, v2.5	3
PID	Patient Identification		3
PV1	Patient Visit		3
[{	--- COMMON_ORDER begin		

¹ HL7 is the registered trademark of Health Level Seven International.

MDM^T02^MDM_T02	Original Document Notification & Content	Status	Chapter
ORC	Common order segment		4
[[--- TIMING begin		
TQ1	Timing/Quantity		4
[[TQ2]]	Timing/Quantity Order Sequence		4
]]	--- TIMING end		
OBR	Observation request segment		4
[[NTE]]	Notes and comments about the observation (OBR)		2
	--- COMMON_ORDER end		
TXA	Document Notification		9
{			
OBX	Observation/Result (one or more required)		9
[[NTE]]	Notes and comments about the observation (OBX)		2
}			

ACK^T02^ACK	General Acknowledgment	Status	Chapter
MSH	Message Header		2
MSA	Message Acknowledgment		2
[[ERR]]	Error Information		2

Note: [] indicates optional items, { } indicates repeatable items.

320

- The output unit that confirms the arrival requires a MSH at the head.
- PID and PV1 are required.
- OBX is required to store document files complying with HL7 CDA^{®2} R2.

3.3.4.1.2.1 TXA

325

The TXA segment contains information specific to a transcribed document but does not include the text of the document. The message is created as a result of a document status change. This

² CDA is the registered trademark of Health Level Seven International.

330

information updates other healthcare systems and allows them to identify reports that are available in the transcription system. By maintaining the TXA message information in these systems, the information is available when constructing queries to the transcription system requesting the full document text.

Table 3.3.4.1.2.1-1: HL7 Attribute Table - TXA – Transcription Document Header

SEQ	LEN	DT	OPT	RP/#	TBL #	ITEM#	ELEMENT NAME
1	4	SI	R			00914	Set ID- TXA
2	30	IS	R		0270	00915	Document Type
3	2	ID	C		0191	00916	Document Content Presentation
4	26	TS	O			00917	Activity Date/Time
5	250	XC N	C	Y		00918	Primary Activity Provider Code/Name
6	26	TS	O			00919	Origination Date/Time
7	26	TS	C			00920	Transcription Date/Time
8	26	TS	O	Y		00921	Edit Date/Time
9	250	XC N	O	Y		00922	Originator Code/Name
10	250	XC N	O	Y		00923	Assigned Document Authenticator
11	250	XC N	C	Y		00924	Transcriptionist Code/Name
12	30	EI	R			00925	Unique Document Number
13	30	EI	C			00926	Parent Document Number
14	22	EI	O	Y		00216	Placer Order Number
15	22	EI	O			00217	Filler Order Number
16	30	ST	O			00927	Unique Document File Name
17	2	ID	R		0271	00928	Document Completion Status
18	2	ID	O		0272	00929	Document Confidentiality Status
19	2	ID	O		0273	00930	Document Availability Status
20	2	ID	O		0275	00932	Document Storage Status
21	30	ST	C			00933	Document Change Reason
22	250	PPN	C	Y		00934	Authentication Person, Time Stamp
23	250	XC N	O	Y		00935	Distributed Copies (Code and Name of Recipients)

3.3.4.1.2.1.1 TXA filed definitions

See HL7 Ver 2.5 Section 9.6.1 “TXA-Transcription Document Header Segment”.

335 **3.3.4.1.3 Expected Actions**

This section is not applicable.

3.3.5 Security Considerations

None

340 *Add Section 3.6*

3.6 Notify Endoscopy Status [ENDO-6]

345 This transaction enables the Endoscopy Report Creator to send a message to notify the OF of the completion of the endoscopy observation report. Endoscopy examination is considered that it is completed upon the completion of endoscopy report and the completion of performed procedure information notification [ENDO-4]. Thus, OF in receiving both messages is acknowledging that the endoscopy examination has been completed.

3.6.1 Scope

This section is not applicable.

350 **3.6.2 Actor Roles**

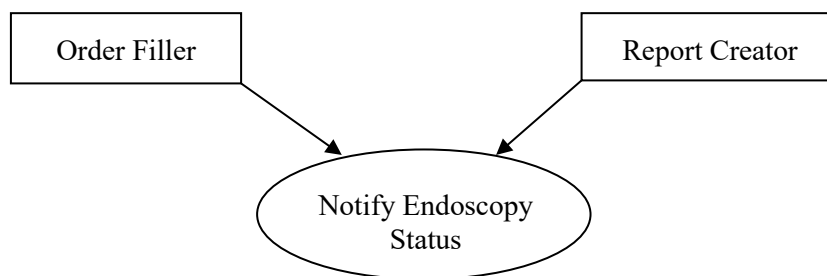


Figure 3.6.2-1: Use Case Diagram

Table 3.6.2-1: Actor Roles

Actor:	Order Filler
Role:	Receive endoscopy status information that Report Creator provided.
Actor:	Report Creator

Role:	Provide endoscopy status information.
--------------	---------------------------------------

355

Transaction text specifies behavior for each role. The behavior of specific actors may also be specified when it goes beyond that of the general role.

3.6.3 Referenced Standards

HL7 Ver2.5 Chapter 9.5.1

360 3.6.4 Interaction Diagram

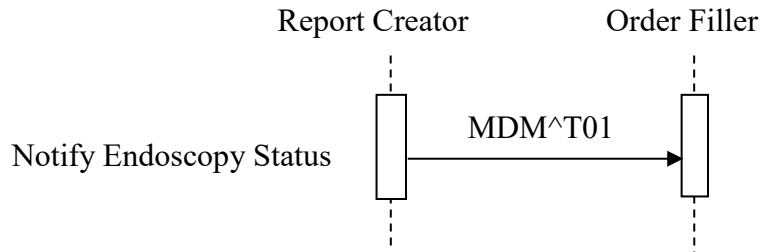


Figure 3.6.4-1: Use Case Diagram

Note: Simple acknowledgment messages are omitted from the diagrams for brevity.

365 3.6.4.1 MDM/ACK^T01 Original Documentation Notification

The original documentation notification (MDM) is used for notifying the status of the endoscopy observation report. The event type is ‘T01’.

3.6.4.1.1 Trigger Events

370 T01-A report notification message event is an event that notifies an endoscopy observation report status.

3.6.4.1.2 Message Semantics

MDM^T01^MDM_T01	Original Document Notification & Content	Status	Chapter
MSH	Message Header		2
EVN	Event Type	B, v2.5	3

MDM^T01^MDM_T01	Original Document Notification & Content	Status	Chapter
PID	Patient Identification		3
PV1	Patient Visit		3
[[--- COMMON_ORDER begin		
ORC	Common order segment		4
[[--- TIMING begin		
TQ1	Timing/Quantity		4
[[TQ2]]	Timing/Quantity Order Sequence		4
]]	--- TIMING end		
OBR	Observation request segment		4
[[NTE]]	Notes and comments about the observation (OBR)		2
	--- COMMON_ORDER end		
TXA	Document Notification		9

Note: [] indicates optional items, { } indicates repeatable items.

- 375
- The output unit that confirms the arrival requires a MSH at the head.
 - PID and PV1 are required.

3.6.4.1.3 Expected Actions

This section is not applicable.

3.6.4.2 ACK

- 380 **3.6.4.2.1 Trigger Events**

This section is not applicable.

3.6.4.2.2 Message Semantics

ACK^T01^ACK	General Acknowledgment	Status	Chapter
MSH	Message Header		2
MSA	Message Acknowledgment		2
[[ERR]]	Error Information		2

Note: [] indicates optional items, { } indicates repeatable items.

385

3.6.4.2.3 Expected Actions

This section is not applicable.

3.6.5 Security Considerations

This section is not applicable.

390

Appendices

None

Volume 2 Namespace Additions

Add the following terms to the IHE General Introduction Appendix G:

395

None

Volume 3 – Content Modules

400

This section is not applicable.

Appendices

None

405

Volume 3 Namespace Additions

None

410

Volume 4 – National Extensions

415 This section is not applicable.