

Integrating the Healthcare Enterprise



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**IHE Pharmacy
Technical Framework Supplement**

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**Pharmacy Medication List
(PML)**

15

Trial Implementation

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Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.

Foreword

This is a supplement to the forthcoming IHE Pharmacy Technical Framework. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on September 29, 2014 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the forthcoming Pharmacy Technical Framework. Comments are invited and may be submitted at http://www.ihe.net/Pharmacy_Public_Comments.

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

40 Amend Section X.X by the following:

Where the amendment adds text, make the added text **bold underline**. Where the amendment removes text, make the removed text **bold strikethrough**. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

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General information about IHE can be found at: <http://www.ihe.net>.

Information about the IHE Pharmacy domain can be found at: http://ihe.net/IHE_Domains.

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at: http://ihe.net/IHE_Process and

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<http://ihe.net/Profiles>.

The current version of the IHE Pharmacy Technical Framework can be found at:
http://ihe.net/Technical_Frameworks.

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110 **Introduction to this Supplement**

The Pharmacy Medication List Profile (PML) describes the content and format of a Medication List document generated during a process in which a health care professional (physician, pharmacist, nurse, etc.) requests this information (e.g., to support its prescribing).

115 Documents created according to this profile are intended to be used in the context of the “Community Prescription and Dispense” Integration Profile (CMPD).

This supplement also references other documents¹. The reader should have already read and understood these documents:

1. [PHARM Common parts document](#)
2. [PHARM Community Prescription and Dispense Integration Profile \(CMPD\)](#)
3. [PCC Technical Framework Volume 1](#)
4. [PCC Technical Framework Volume 2](#)
5. [IT Infrastructure Technical Framework Volume 1](#)
6. [IT Infrastructure Technical Framework Volume 2](#)
7. [IT Infrastructure Technical Framework Volume 3](#)
8. HL7 and other standards documents referenced in this document

Open Issues and Questions

- Header template “Patient information”: Shall a vocabulary be set (e.g., HL7 Gender list) to be required?

Closed Issues

¹ The first seven documents can be located on the IHE Website at http://www.ihe.net/Technical_Frameworks. The remaining documents can be obtained from their respective publishers.

130 **General Introduction**

Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

Appendix A - Actor Summary Definitions

Add the following actors to the IHE Technical Frameworks General Introduction list of Actors:

- 135 No new actors.

Appendix B - Transaction Summary Definitions

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

No new transactions.

140 **Glossary**

Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:

Glossary Term	Definition
Pharmacy Medication List	A Pharmacy Medication list is a collection of Prescription- and Dispense items (and their related Pharmaceutical Advice Items) representing the Medication information of the patient at a certain point of time and according to business rules specified.

Volume 1 – Profiles

145 **Copyright Licenses**

NA

Domain-specific additions

None

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X Pharmacy Medication List Content Profile

The Pharmacy Medication List Profile (PML) describes the content and format of a Medication List document generated during a process in which a health care professional (physician, pharmacist, nurse, etc.) requests this information (e.g., to support its prescribing).

- 155 Documents created according to this profile are intended to be used in the context of the “Community Prescription and Dispense” Integration Profile (CMPD). The Community Pharmacy Prescription and Dispense workflow includes the stage of requesting the Medication List of a patient by a health care professional (physician, pharmacist, nurse, etc.).
- 160 A Medication List document is the documentation of the performed determination of the Medication list. It contains a set of Prescription- and/or Dispense Items (and their related Pharmaceutical Advice Items) representing the Medication information of the patient at a certain point of time and according to business rules specified out of scope of this profile.
- For a detailed overview on the whole Pharmacy domain business processes, please refer to the “Common parts” document, which is accompanying this profile.

165 **X.1 PML Actors, Transactions, and Content Modules**

This section defines the actors, transactions, and/or content modules in this profile.

Figure X.1-1 shows the actors directly involved in the PML Profile and the direction that the content is exchanged.

- 170 A product implementation using this profile must group actors from this profile with actors from a workflow or transport profile to be functional. The grouping of the content module described in this profile to specific actors is described in more detail in the “Required Actor Groupings” section below.

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Figure X.1-1: PML Actor Diagram

- 180 Table X.1-1 lists the content module(s) defined in the PML Profile. To claim support with this profile, an actor shall support all required content modules (labeled “R”) and may support optional content modules (labeled “O”).

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Table X.1-1: PML Profile - Actors and Content Modules

Actors	Content Modules	Optionality	Reference
Content Creator	Pharmacy Medication List 1.3.6.1.4.1.19376.1.9.1.1.5	R	PHARM TF-3: 6.3.1.D
Content Consumer	Pharmacy Medication List 1.3.6.1.4.1.19376.1.9.1.1.5	R	PHARM TF-3: 6.3.1.D

X.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in Transactions (Volume 2) and Content Modules (Volume 3). This section documents any additional requirements on profile's actors.

190

X.2 PML Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table X.2-1. Dependencies between options when applicable are specified in notes.

Table X.2-1: PML - Actors and Options

Actor	Option Name	Reference
Content Consumer	View Option (see Note 1)	PCC TF-2: 3.1.1
	Document Import Option (see Note 1)	PCC TF-2: 3.1.2
	Section Import Option (see Note 1)	PCC TF-2: 3.1.3
	Discrete Data Import Option (see Note 1)	PCC TF-2: 3.1.4
Content Creator	No options defined	

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Note 1: The actor shall support at least one of these options.

X.3 PML Required Actor Groupings

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An actor from this profile (Column 1) shall implement all of the required transactions and/or content modules in this profile *in addition to* all of the transactions required for the grouped actor (Column 2).

If this is a content profile, and actors from this profile are grouped with actors from a workflow or transport profile, the Content Bindings reference column references any specifications for mapping data from the content module into data elements from the workflow or transport transactions.

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In some cases, required groupings are defined as at least one of an enumerated set of possible actors; this is designated by merging column one into a single cell spanning multiple potential grouped actors. Notes are used to highlight this situation.

Section X.5 describes some optional groupings that may be of interest for security considerations and Section X.6 describes some optional groupings in other related profiles, if applicable.

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Table X.3-1: PML - Required Actor Groupings

PML Actor	Actor to be grouped with	Reference	Content Bindings Reference
Content Consumer	PHARM Prescription Placer	PHARM TF-1: 4.1.1.2	PHARM TF-3: 6.3.1.D
	PHARM Pharmaceutical Adviser	PHARM TF-1: 4.1.1.3	PHARM TF-3: 6.3.1.D
	PHARM Medication Dispenser	PHARM TF-1: 4.1.1.3	PHARM TF-3: 6.3.1.D
Content Creator	PHARM Community Pharmacy Manager	PHARM TF-1: 4.1.1.1	PHARM TF-3: 6.3.1.D

X.4 PML Overview

X.4.1 Concepts

215 Not applicable

X.4.2 Use Cases

X.4.2.1 Use Case #1: Request Medication List

Physician requests the information about prescribed and dispensed medication to a patient.

X.4.2.1.1 Request Medication List Use Case Description

220 A patient enters a physician's office for treatment of an illness requiring the usage of medication. The physician decides to prescribe a new medication but wants to check the patient's medication status first in order to do medication reconciliation and review.

The physician requests the Medication List and receives the document containing all dispenses for a period of time and all prescriptions which are still ready to be dispensed.

225 Refer to the Community Pharmacy Prescription and Dispense Integration Profile (CMPD) for detailed use case information.

X.4.2.1.2 Request Medication List Process Flow

Pre-conditions:

A Healthcare provider has identified the patient and is ready to retrieve the Medication List.

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Main Flow:

1. Patient visits facility (Registering patient)
2. Obtain patient's Health ID
3. Retrieve and Reconcile clinical data
- 235 4. Physical examination
- 5. Retrieve Medication List and perform Medication Reconciliation and Review**
6. Prescribe medication

Post-conditions:

- 240 The Healthcare provider has got the Medication List to perform a medication reconciliation and review.

X.5 PML Security Considerations

The security considerations for a content module are dependent upon the security provisions defined by the grouped actor(s).

245 **X.6 PML Cross Profile Considerations**

Not applicable

Appendices

Appendices A.1 to A.4 are applicable to this profile as described in the “Pharmacy Prescription (PRE)” supplement.

250

Volume 3 – Content Modules

5 Namespaces and Vocabularies

Add to Section 5 Namespaces and Vocabularies

codeSystem	codeSystemName	Description
1.3.6.1.4.1.19376.1.9	IHE Pharmacy Object Identifiers	This is the root OID for all IHE Pharmacy objects

See also the Namespaces and Vocabularies of the IHE PCC Technical Framework [PCC-TF2/Namespaces and Vocabularies](#).

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Add to Section 5.1.1 IHE Format Codes

Profile	Format Code	Media Type	Template ID
Pharmacy Medication List (PML)	urn:ihe: pharm:pml:2013	text/xml	1.3.6.1.4.1.19376.1.9.1.1.5

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Add to Section 5.1.2 IHE ActCode Vocabulary

NA

Add to Section 5.1.3 IHE RoleCode Vocabulary

NA

265 **6 Content Modules**

6.3.1 CDA Document Content Modules

<i>Add to Section 6.3.1.D Document Content Modules</i>
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270 **6.3.1.D Pharmacy Medication List (PML) Document Content Module**

Pharmacy Medication List CDA Document

Pharmacy Medication List Content Module (1.3.6.1.4.1.19376.1.9.1.1.5)

1..1

Medication List

Medication List Section Content Module (1.3.6.1.4.1.19376.1.9.1.2.5)

0..n

Prescription Item

Prescription Item Entry Content Module

1.3.6.1.4.1.19376.1.9.1.3.2

0..n

Dispense Item

Dispense Item Entry Content Module

1.3.6.1.4.1.19376.1.9.1.3.4

0..n

Pharmaceutical Advice Item (to Prescription- or Dispense Item listed above)

Pharmaceutical Advice Item Entry Content Module

1.3.6.1.4.1.19376.1.9.1.3.3

6.3.1.D.1 Format Code

275 The XDSDocumentEntry format code for this content is **urn:ihe:pharm:pml:2013**

6.3.1.D.2 Parent Template

This document is a specialization of the IHE PCC Medical Document template (OID = 1.3.6.1.4.1.19376.1.5.3.1.1.1).

280 Note: The Medical Document includes requirements for various header elements; name, addr and telecom elements for identified persons and organizations; and basic participations record target, author, and legal authenticator.

6.3.1.D.3 Referenced Standards

All standards which are reference in this document are listed below with their common abbreviation, full title, and link to the standard.

285 **Table 6.3.1.D.3-1: Pharmacy Medication List - Referenced Standards**

Abbreviation	Title	URL
HL7v3 NE2009	HL7 V3 2009 Normative Edition	http://www.hl7.org/memonly/downloads/v3edition.cfm#V32009
CDAR2	HL7 CDA Release 2.0	http://www.hl7.org/documentcenter/private/standards/cda/r2/cda_r2_normativewebition.zip
CCD	ASTM/HL7 Continuity of Care Document	http://www.hl7.org/library/General/HL7_CCD_final.zip
XMLXSL	Associating Style Sheets with XML documents	http://www.w3.org/TR/xml-stylesheet/

6.3.1.D.4 Data Element Requirement Mappings to CDA

This section identifies the mapping of data between referenced standards into the CDA implementation guide.

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Table 6.3.1.D.4-1: Pharmacy Medication List - Data Element Requirement Mappings to CDA

Clinical Data Element	PML
Patient Information	recordTarget/patientRole
Patient Administrative Identifiers	recordTarget/patientRole/id
Patient Name	recordTarget/patientRole/patient/name
Patient Gender	recordTarget/patientRole/patient/administrativeGenderCode
Patient Birth Date	recordTarget/patientRole/patient/birthTime
Patient Address	recordTarget/patientRole/addr
Patient Telecom	recordTarget/patientRole/telecom

Clinical Data Element	PML
HCP Person Information	author
HCP ID(s)	author/assignedAuthor/id
HCP Profession	author/functionCode
HCP Name	author/assignedAuthor/assignedPerson/name
HCP Telecom	author/assignedAuthor/telecom
HCP Specialty	author/assignedAuthor/code
HCP Represented Organization	author/assignedAuthor/representedOrganization
HCP Organization Name	author/assignedAuthor/representedOrganization/name
HCP Organization Address	author/assignedAuthor/representedOrganization/addr
HCP Organization Telecom	author/assignedAuthor/representedOrganization/telecom
Service Event²	documentationOf/serviceEvent
Date of Service Event	documentationOf/serviceEvent/effectiveTime
Service Event Code	documentationOf/serviceEvent/code
Authorization	authorization/consent
Patient contacts	guardian
Medication List	HISTORY OF MEDICATION USE

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6.3.1.D.5 Pharmacy Medication List (PML) Document Content Module Specification

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This section specifies the header, section, and entry content modules which comprise the Pharmacy Medication List (PML) Document Content Module, using the Template ID as the key identifier.

Sections that are used according to the definitions in other specifications are identified with the relevant specification document. Additional constraints on vocabulary value sets, not specifically constrained within the section template, are also identified.

Table 6.3.1.D.5-1: Pharmacy Medication List (PML) Document Content Module Specification

Template Name	Pharmacy Medication List
Template ID	1.3.6.1.4.1.19376.1.9.1.1.5
Parent Template	1.3.6.1.4.1.19376.1.5.3.1.1.1 [PCC]
General Description	A document containing a set of Prescription-, Dispense- and Pharmaceutical Advice Items altogether forming a medication list of a patient.

² Service Event is optional and may only be used if the dispense has been taken without a prescription. In this case it may contain service event information of the medical event in which context the dispense act has been taken.

SHALL be 56445-0, LOINC, “Medication summary Document”					
Opt and Card	Condition	Header Element or Section Name	Template ID	Specification Document	Vocabulary Constraint
Header Elements					
M [1..1]		Patient Information	1.3.6.1.4.1.19376.1.9.1.4.1	PHARM TF-3: 6.3.2.H	
M [1..1]		Healthcare Provider Information	1.3.6.1.4.1.19376.1.9.1.4.2	PHARM TF-3: 6.3.2.H	
Sections					
M [1..1]		Medication List	1.3.6.1.4.1.19376.1.9.1.2.5	PHARM TF-3: 6.3.3.10.S	

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6.3.1.D.6 PML Conformance and Example

CDA Release 2.0 documents that conform to the requirements of this document content module shall indicate their conformance by the inclusion of the 1.3.6.1.4.1.19376.1.9.1.1.5 XML elements in the header of the document.

310 A CDA Document may conform to more than one template. This content module inherits from the PCC TF Medical Document, 1.3.6.1.4.1.19376.1.5.3.1.1.1, content modules and so must conform to the requirements of those templates as well this document specification, Pharmacy Medication List template, 1.3.6.1.4.1.19376.1.9.1.1.5.

315 A complete example of the Pharmacy Medication List (PML) Document Content Module is available on the IHE ftp server at: ftp://ftp.ihe.net/Pharmacy/Technical_Framework/Demo-Documents/PML.

Note that this is an example and is meant to be informative and not normative. This example shows the elements for all of the specified templates.

320 *Add to Section 6.3.2 Header Content Modules*

6.3.2 CDA Header Content Modules

6.3.2.H1 Patient Information Header Content Module

Table 6.3.2.H1-1: Pharmacy Medication List (PML) Header

Template Name	Patient Information
Template ID	1.3.6.1.4.1.19376.1.9.1.4.1
Parent Template	N/A

Header Element		recordTarget			
General Description		This header content module contains required and optional patient information.			
Opt and Card	Participation/Act Relationship	Description	Template	Specification Document	Vocabulary Constraint
M [1..1]	RCT	Name			
M [1..1]	RCT	Personal Identification			
M [1..1]	RCT	Gender			
M [1..1]	RCT	Date of Birth			
R [0..1]	RCT	Address			
R [0..1]	RCT	Contact Information			
O[0..1]	RCT	Marital Status			
O[0..1]	RCT	Race			
O[0..1]	RCT	Ethnicity			
O[0..1]	RCT	Religious Affiliation			

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6.3.2.H2 Healthcare Provider Information Header Content Module

Table 6.3.2.H2-1: Pharmacy Medication List (PML) Header

Template Name		Healthcare Provider Information			
Template ID		1.3.6.1.4.1.19376.1.9.1.4.2			
Parent Template		N/A			
Header Element		author			
General Description		This header content module contains required and optional healthcare provider information (person, device and organization).			
Opt and Card	Participation/Act Relationship	Description	Template	Specification Document	Vocabulary Constraint
C [0..1]	AUT	Healthcare Provider Information - Person or Device	1.3.6.1.4.1.19376.1.9. 1.4.2.1 or 1.3.6.1.4.1.19376.1.9. 1.4.2.2	PHARM TF-3 6.3.2.H.1	
M [1..1]	AUT	Healthcare Provider Information - Organization	1.3.6.1.4.1.19376.1.9. 1.4.2.3		

330 **6.3.2.H2.1 Healthcare Provider Information - Person or Device Specification Document**

The Healthcare Provider Information Person or Device data element SHALL contain either an assignedPerson element according to the Healthcare Provider Information - Person Header Content Module (1.3.6.1.4.1.19376.1.9.1.4.2.1) or an assignedAuthoringDevice element according to the Healthcare Provider Information - Device Header Content Module (1.3.6.1.4.1.19376.1.9.1.4.2.2).

6.3.2.H3 Healthcare Provider Information - Person Header Content Module

340 **Table 6.3.2.H3-1: Pharmacy Medication List (PML) Header**

Template Name		Healthcare Provider Information - Person			
Template ID		1.3.6.1.4.1.19376.1.9.1.4.2.1			
Parent Template		N/A			
Header Element		author			
General Description		This header content module contains required and optional healthcare provider person information.			
Opt and Card	Participation/Act Relationship	Description	Template	Specification Document	Vocabulary Constraint
M [1..1]	AUT	HCP Person Name			
M [1..1]	AUT	HCP Person Personal Identification			
M [1..1]	AUT	HCP Person Profession			
M [1..1]	AUT	HCP Person Specialty			
O [0..1]	AUT	HCP Person Contact Information			

6.3.2.H4 Healthcare Provider Information - Device Header Content Module

Table 6.3.2.H4-1: Pharmacy Medication List (PML) Header

Template Name		Healthcare Provider Information - Device			
Template ID		1.3.6.1.4.1.19376.1.9.1.4.2.2			
Parent Template		N/A			
Header Element		author			
General Description		This header content module contains required and optional healthcare provider device information.			

Opt and Card	Participation/Act Relationship	Description	Template	Specification Document	Vocabulary Constraint
M [1..1]	AUT	HCP Device Manufacturer Model Name			
O [0..1]	AUT	HCP Device Software Name			

345

6.3.2.H5 Healthcare Provider Information - Organization Header Content Module

Table 6.3.2.H5-1: Pharmacy Medication List (PML) Header

Template Name	Healthcare Provider Information - Organization				
Template ID	1.3.6.1.4.1.19376.1.9.1.4.2.3				
Parent Template	N/A				
Header Element	author				
General Description	This header content module contains required and optional healthcare provider organization information.				
Opt and Card	Participation/Act Relationship	Description	Template	Specification Document	Vocabulary Constraint
M [1..1]	AUT	HCP Organization Name			
M [1..1]	AUT	HCP Organization Identifier			
M [1..1]	AUT	HCP Organization Address			
M [1..1]	AUT	HCP Organization Contact Information			

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6.3.3 CDA Section Content Modules

Add to Section 6.3.3.10 Section Content Modules

6.3.3.10.S Medication List - Section Content Module

355

Table 6.3.3.10.S-1: Medication List Section

Template Name	Medication List
Template ID	1.3.6.1.4.1.19376.1.9.1.2.5
Parent Template	-
General Description	The Medication List section shall contain a description of the Prescription- and Dispense Items

	<p>assembled to a medication list. It shall include zero to many Prescription items and/or Dispense items described in the Prescription- and Dispense Item Entry Content Modules altogether with related Pharmaceutical Advice Items.</p> <p>For specification of the Prescription-, Dispense- and Pharmaceutical Advice Item Entry Content Modules see Pharmacy Prescription (PRE), Pharmacy Dispense (DIS) and Pharmacy Pharmaceutical Advice (PADV) profiles.</p>				
Section Code	10160-0, LOINC, History of medication use				
Author	-				
Informant	-				
Subject	-				
Opt and Card	Condition	Data Element or Section Name	Template ID	Specification Document	Vocabulary Constraint
Entries					
O [0..*]		Prescription Item Entry Content Module	1.3.6.1.4.1.19376.1.9.1.3.2	PHARM PRE supplement	
O [0..*]		Dispense Item Entry Content Module	1.3.6.1.4.1.19376.1.9.1.3.4	PHARM DIS supplement	
O [0..*]	PHARM TF-3 6.3.3.10.S.1	Pharmaceutical Advice Item Entry Content Module	1.3.6.1.4.1.19376.1.9.1.3.3	PHARM PADV supplement	

6.3.3.10.S.1 Medication List Condition

The Medical History Section MAY contain Pharmaceutical Advice Item Entries which related to Prescription- or Dispense Item Entries provided in the section.

360

6.3.4 CDA Entry Content Modules

Not applicable

6.4 Section not applicable

This heading is not currently used in a CDA document.

365

6.5 PML Value Sets

Not applicable

Appendices

Not applicable

370 Volume 3 Namespace Additions

Add the following terms to the IHE Namespace:

- Pharmacy Medication List (PML) Document Content Module
 - 1.3.6.1.4.1.19376.1.9.1.1.5
- 375 • Patient Information Header Content Module
 - 1.3.6.1.4.1.19376.1.9.1.4.1
- Healthcare Provider Information Header Content Module
 - 1.3.6.1.4.1.19376.1.9.1.4.2
- Medication List - Section Content Module
 - 1.3.6.1.4.1.19376.1.9.1.2.5